

## Schedule T - Fiduciary and Related Services

(Trust Companies)

as of September 30, 2010

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	2,833,362	474,667	1,301	185	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	693,460	2,177,670	35	108	5.a.
b. Employee benefit - defined benefit.....	115,470	85,858	9	2	5.b.
c. Other employee benefit and retirement-related accounts.....	436,724	156,480	479	212	5.c.
6. Corporate trust and agency accounts.				1	6.
7. Investment management and investment advisory agency accounts.....	84,259,690	128,482	3,913	54	7.
8. Foundation and endowment trust and agency accounts	295,605	53,625	100	22	8.
9. Other fiduciary accounts .....	653,853	8,336	14	35	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	89,288,164	3,085,118	5,851	619	10.
11. Custody and safekeeping accounts.....		3,214,914		264	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	218,293	160,956	205	205	13.

# Schedule T - Fiduciary and Related Services

(Trust Companies)

as of September 30, 2010

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	4,306	722	1,596	M.1.a.
b.	81,307	5,157	66,190	M.1.b.
c.	569,008	39,470	27,669	M.1.c.
d.	2,389,568	11,769	6,663	M.1.d.
e.	1,465,869	78,901	101,138	M.1.e.
f.	3,086,071	240,349	69,322	M.1.f.
g.	1,733,706	117,156	49,332	M.1.g.
h.	14,584,932	1,917		M.1.h.
i.	99,511	24,028	2,912	M.1.i.
j.	11,115,184	467,463	482,737	M.1.j.
k.	56,780	54,896	12,344	M.1.k.
l.	42,316,209	194,497	6,253,324	M.1.l.
m.	78,253	20	1,257	M.1.m.
n.	457,138	3,080	9,195	M.1.n.
o.	2,902,092	5,758	18,052	M.1.o.
p.	80,939,934	1,245,183	7,101,731	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		4,912,399	23,691	M.1.q.

**Schedule T - Fiduciary and Related Services**  
**(Trust Companies)**  
**as of September 30, 2010**

Memoranda

2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....		M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....		M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....		M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	7	463,003 M.3.a.
b. International/Global equity.....	24	10,810,429 M.3.b.
c. Stock/Bond blend.....	8	1,557,003 M.3.c.
d. Taxable bond.....	18	2,847,458 M.3.d.
e. Municipal bond.....	1	7,348 M.3.e.
f. Short term investments/Money market.....		M.3.f.
g. Specialty/Other.....	2	406 M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	60	15,685,647 M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	50,955	95,449,445	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	50,955	95,449,445	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,850	700	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,502	1,240	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	652	540	P.6.

## INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	14,816	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	2,605	15.a.
b. Employee benefit-defined benefit.....	353	15.b.
c. Other employee benefit and retirement-related accounts.....	2,034	15.c.
16. Corporate trust and agency accounts .....	1	16.
17. Investment management and investment advisory agency accounts .....	253,028	17.
18. Foundation and endowment trust and agency accounts.....	1,262	18.
19. Other fiduciary accounts .....	5,856	19.
20. Custody and safekeeping accounts .....	739	20.
21. Other fiduciary and related services income.....	14,681	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	295,375	22.
23. Less: Expenses.....	331,058	23.
24. Less: Net losses from fiduciary and related services.....	478	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	25,390	25.
26. Net fiduciary and related services income .....	(10,771)	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	7	296		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts	12			M.4.b.
c. Investment management and investment advisory..... agency accounts.....		162		M.4.c.
d. Other fiduciary accounts and related services.....		1		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	19	459		M.4.e.