

STATE OF CALIFORNIA - DEPARTMENT OF BUSINESS OVERSIGHT
**NOTICE OF OFFICERS, DIRECTORS, TRUSTEES, EMPLOYEES OR OTHER
PERSONS DIRECTLY OR INDIRECTLY COMPENSATED BY ESCROW AGENTS**
DBO-EL 1726 (Rev. 5-16)



*Fee: \$10.00 + DOJ
Noncriminal Applicant
Fingerprint Fee

Receipt No. _____

DEPARTMENT OF BUSINESS OVERSIGHT
320 West 4th Street, Suite 750
Los Angeles, California 90013-2344
(213) 576-7690

OFFICIAL USE ONLY
Last Name _____
Date submitted CII _ _ _ _ _
Applicant I.D. # _____

PLEASE PRINT OR TYPE _____ File No. _____

Name of Company _____

ADDRESS OF MAIN OFFICE:

Street City State Zip Code

EMPLOYING BRANCH OFFICE: _____

APPLICANT'S NAME: _____
Last First Middle

POSITION HELD OR TO BE HELD: _____

HOME ADDRESS: _____
Street City State Zip Code

DATE OF BIRTH: _____ PLACE OF BIRTH: _____
Month Day Year

DRIVER'S LICENSE NUMBER: _____

HEIGHT: _____ WEIGHT: _____ SEX: _____ COLOR OF EYES: _____
COLOR OF HAIR: _____

*Fees should include the Department of Business Oversight's filing fee of (\$10.00) and the fee charged by the Department of Justice (Section 11105, Penal Code) for processing Noncriminal Applicant Fingerprints.

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DBO's Privacy Notice on Collection

DBO Collects and Uses Personal Information: The DBO collects the information requested on this form as authorized by the California Financial Code section 17414.1 and California Code of Regulations section 1726. The DBO uses this information to investigate the individual's background and qualifications associated with an applicant or a licensee. Use of the personal Information DBO collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

Providing Personal Information Is Voluntary: You do not have to provide the personal information requested. If you do not want to provide personal information, such as your name, home address or home telephone number, you may remain anonymous. However, we may not be able to contact you or help resolve your issue.

When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver's license number, or financial information.

DBO May Disclose Your Personal Information: We may share your personal information with the Department of Justice's Identification and Information Branch, other federal, state or local law enforcement agencies, or a self-regulatory organization as authorized by law. Your personal information may also be disclosed:

- In response to a Public Records Act request, as allowed by the Information Practices Act.
- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

Your Access to Your Personal Information: You may review the records maintained by DBO that contain your personal information. To request access, contact: DBO Privacy Officer, 1515 K Street, Sacramento, CA 95814.