



STATE OF CALIFORNIA –DEPARTMENT OF BUSINESS OVERSIGHT
**NOTICE OF OFFICERS, DIRECTORS, PARTNERS, “CONTROL” PERSONS,
 MANAGERS, MEMBERS, TRUSTEES AND EMPLOYEES OF A CAPITAL
 ACCESS COMPANY**
 DBO – CAACL 280.153 (Rev. 08-13)

Fee: \$20.00 + DOJ Noncriminal Applicant Fingerprint Fee
 (Fees should include the Department of Business Oversight’s filing fee of [\$20.00]
 and the fee charged by the Department of Justice [Penal Code Section 11105]
 for processing Noncriminal Applicant Fingerprints.)

Receipt No. _____

<u>OFFICIAL USE ONLY</u>	
<u>LAST NAME</u>	
<u>Date submitted CII:</u>	<u>Applicant</u>
<u>I.D.#</u>	

PLEASE PRINT OR TYPE

NAME OF COMPANY: _____ **FILE NO.** _____

ADDRESS OF MAIN OFFICE: _____
(Street) (City) (State) (Zip Code)

EMPLOYING BRANCH OFFICE: _____

**NAME OF OFFICER, DIRECTOR, PARTNER, “CONTROL” PERSON, MANAGER, MEMBER, TRUSTEE
 AND EMPLOYEE:**

(Last) (First) (Middle)

POSITION HELD OR TO BE HELD: _____

HOME ADDRESS: _____
(Street) (City) (State) (Zip Code)

DATE OF BIRTH: _____ **PLACE OF BIRTH:** _____
(Month) (Day) (Year)

HEIGHT: _____ **WEIGHT:** _____ **SEX:** _____ **COLOR OF EYES:** _____
COLOR OF HAIR: _____

* This document shall be treated by the Department of Business Oversight as being received in confidence pursuant to paragraph (4) of subdivision (d) of Section 6254 of the Government Code.