

## Schedule T - Fiduciary and Related Services

(Trust Companies)

as of March 31, 2018

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	5,699,153	2,709,174	1,954	483	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	54,272	4,331,722	39	44	5.a.
b. Employee benefit - defined benefit.....	16,266	390,273	16	8	5.b.
c. Other employee benefit and retirement-related accounts.....	445,175	412,278	646	148	5.c.
6. Corporate trust and agency accounts.		2		1	6.
7. Investment management and investment advisory agency accounts.....	44,175,166	500,476	1,662	93	7.
8. Foundation and endowment trust and agency accounts	453,679	103,228	98	10	8.
9. Other fiduciary accounts .....		173,578		4	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	50,843,711	8,620,731	4,415	791	10.
11. Custody and safekeeping accounts.....		11,724,196		246	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	221,407	307,260	369	140	13.

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as of March 31, 2018

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	-6,515	299	426	M.1.a.
b.	91,917	8,234	3,654	M.1.b.
c.	603,718	11,727	16,500	M.1.c.
d.	2,028,029	21,255	15,880	M.1.d.
e.	645,559	30,566	12,873	M.1.e.
f.	1,329,898	92,871	176,211	M.1.f.
g.	388,095	36,017	50,679	M.1.g.
h.	6,945,662			M.1.h.
i.	179,713			M.1.i.
j.	11,304,318	19,170	24,472	M.1.j.
k.	36,349	100		M.1.k.
l.	22,328,788	277,110	148,867	M.1.l.
m.	130,547	243		M.1.m.
n.	798,720	2,598	3,432	M.1.n.
o.	3,054,190	13,329		M.1.o.
p.	49,858,988	513,519	452,994	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		598,646	936	M.1.q.

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a.
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	59,711	71,046,117	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	59,711	71,046,117	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,800	650	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,058	1,315	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	258	665	P.6.

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**as of March 31, 2018**

**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	9,824	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	277	15.a.
b. Employee benefit-defined benefit.....	183	15.b.
c. Other employee benefit and retirement-related accounts.....	992	15.c.
16. Corporate trust and agency accounts .....		16.
17. Investment management and investment advisory agency accounts .....	55,425	17.
18. Foundation and endowment trust and agency accounts.....	716	18.
19. Other fiduciary accounts .....	33	19.
20. Custody and safekeeping accounts .....	2,040	20.
21. Other fiduciary and related services income.....	271	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	69,761	22.
23. Less: Expenses.....	63,887	23.
24. Less: Net losses from fiduciary and related services.....	190	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	748	25.
26. Net fiduciary and related services income .....	6,432	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries  (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	130	5		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	54			M.4.c.
d. Other fiduciary accounts and related services.....		1		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	184	6		M.4.e.