

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2015

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,717,274	1,911,220	1,720	551	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	194,138	3,245,978	69	31	5.a.
b. Employee benefit - defined benefit.....	90,828	305,365	9	7	5.b.
c. Other employee benefit and retirement-related accounts.....	646,352	256,618	837	152	5.c.
6. Corporate trust and agency accounts.	93,280		1		6.
7. Investment management and investment advisory agency accounts.....	61,925,293	446,880	5,172	72	7.
8. Foundation and endowment trust and agency accounts	416,740	116,152	93	7	8.
9. Other fiduciary accounts		136,780		13	9.
10. Total fiduciary accounts (sum of items 4 through 9)	68,083,905	6,418,993	7,901	833	10.
11. Custody and safekeeping accounts.....		10,723,349		219	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	495,370	138,038	615	132	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
	-2,284	2,902	101	M.1.a.
	71,285	5,623	2,216	M.1.b.
	787,144	12,075	121,114	M.1.c.
	3,695,371	30,439	18,412	M.1.d.
	2,009,756	45,989	30,377	M.1.e.
	5,367,734	335,929	118,948	M.1.f.
	2,385,183	197,798	69,341	M.1.g.
	8,328,574			M.1.h.
	74,739			M.1.i.
	9,147,875	17,903	306,382	M.1.j.
	155,609	4,338	20,144	M.1.k.
	30,172,960	275,419	232,867	M.1.l.
	75,718	146		M.1.m.
	771,562	1,518	5,116	M.1.n.
	3,167,304	13,223	7,054	M.1.o.
	66,208,530	943,302	932,072	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		7,421,989	12,910	M.1.q.

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2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
		M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....		M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....		M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....		M.3.a.
b. International/Global equity.....		M.3.b.
c. Stock/Bond blend.....		M.3.c.
d. Taxable bond.....	1	93,280
e. Municipal bond.....		M.3.e.
f. Short term investments/Money market.....		M.3.f.
g. Specialty/Other.....		M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	1	93,280

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	69,034	85,025,898	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	69,034	85,025,898	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,900	851	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,670	1,448	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	770	597	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	34,218	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	1,208	15.a.
b. Employee benefit-defined benefit.....	622	15.b.
c. Other employee benefit and retirement-related accounts.....	4,343	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	424,571	17.
18. Foundation and endowment trust and agency accounts.....	2,798	18.
19. Other fiduciary accounts	1,176	19.
20. Custody and safekeeping accounts	1,566	20.
21. Other fiduciary and related services income.....	8,365	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	478,867	22.
23. Less: Expenses.....	455,173	23.
24. Less: Net losses from fiduciary and related services.....	1,677	24.
25. Plus: Intracompany income credits for fiduciary and related services	1,417	25.
26. Net fiduciary and related services income	23,434	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	3	32		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts	1			M.4.b.
c. Investment management and investment advisory..... agency accounts.....	1,153	488		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	1,157	520		M.4.e.