

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of March 31, 2014

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	5,185,785	1,648,945	1,669	430	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	387,500	3,118,347	39	33	5.a.
b. Employee benefit - defined benefit.....	121,227	285,117	9	7	5.b.
c. Other employee benefit and retirement-related accounts.....	569,258	159,246	696	162	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	72,390,688	445,839	4,750	68	7.
8. Foundation and endowment trust and agency accounts	494,088	185,638	97	26	8.
9. Other fiduciary accounts	557,143	140,012	3	11	9.
10. Total fiduciary accounts (sum of items 4 through 9)	79,705,689	5,983,144	7,263	737	10.
11. Custody and safekeeping accounts.....		7,638,085		211	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	454,932	173,566	540	153	13.

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(Trust Companies)

as of March 31, 2014

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts
	(000s)	(000s)	(000s)
a. Noninterest-bearing deposits.....	8,690	664	1,048
b. Interest-bearing deposits.....	60,252	6,780	7,689
c. U.S. Treasury and U.S. Government agency obligations.....	1,647,799	19,620	55,815
d. State, county and municipal obligations.....	3,549,846	27,878	15,613
e. Money market mutual funds.....	2,083,086	63,941	191,120
f. Equity mutual funds.....	5,601,951	321,465	117,856
g. Other mutual funds.....	2,233,834	162,076	61,589
h. Common trust funds and collective investment funds.....	10,891,578		
i. Other short-term obligations.....	94,340		
j. Other notes and bonds.....	10,288,647	202,734	1,054,398
k. Investments in unregistered funds and private equity investments.....	94,004	12,214	40,475
l. Other common and preferred stocks.....	36,197,443	250,112	490,925
m. Real estate mortgages.....	75,881		
n. Real estate.....	548,265	1,940	12,612
o. Miscellaneous assets.....	3,195,891	8,564	7,058
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	76,571,507	1,077,988	2,056,198
		(Column A) Managed Assets	(Column B) Number of Managed Assets
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		7,538,001	69,855

M.1.a.
M.1.b.
M.1.c.
M.1.d.
M.1.e.
M.1.f.
M.1.g.
M.1.h.
M.1.i.
M.1.j.
M.1.k.
M.1.l.
M.1.m.
M.1.n.
M.1.o.
M.1.p.
M.1.q.

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(Trust Companies)
as of March 31, 2014

Memoranda

2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
		M.2.a.
		M.2.a.1
		M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
1	150,714	M.3.a.
		M.3.b.
		M.3.c.
1	403,586	M.3.d.
		M.3.e.
		M.3.f.
		M.3.g.
2	554,300	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	795,182	93,143,778	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	795,182	93,143,778	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	900	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,087	1,423	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	337	523	P.6.

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as of March 31, 2014

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	8,460	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	366	15.a.
b. Employee benefit-defined benefit.....	196	15.b.
c. Other employee benefit and retirement-related accounts.....	1,050	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	72,315	17.
18. Foundation and endowment trust and agency accounts.....	767	18.
19. Other fiduciary accounts	609	19.
20. Custody and safekeeping accounts	539	20.
21. Other fiduciary and related services income.....	5,256	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	89,558	22.
23. Less: Expenses.....	114,976	23.
24. Less: Net losses from fiduciary and related services.....	75	24.
25. Plus: Intracompany income credits for fiduciary and related services	8,510	25.
26. Net fiduciary and related services income	(16,983)	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....				M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....		75		M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....		75		M.4.e.