

## Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2013

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
<b>FIDUCIARY AND RELATED ASSETS</b>					
4. Personal trust and agency accounts.....	5,154,138	1,580,927	1,642	424	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	379,117	3,104,230	39	35	5.a.
b. Employee benefit - defined benefit.....	129,989	339,661	10	7	5.b.
c. Other employee benefit and retirement-related accounts.....	552,388	161,039	683	167	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	72,624,840	424,646	4,676	73	7.
8. Foundation and endowment trust and agency accounts	538,589	187,459	99	27	8.
9. Other fiduciary accounts .....	768,351	138,091	3	9	9.
10. Total fiduciary accounts (sum of items 4 through 9) .....	80,147,412	5,936,053	7,152	742	10.
11. Custody and safekeeping accounts.....		8,149,664		226	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	440,606	168,054	529	154	13.

# Schedule T - Fiduciary and Related Services

(Trust Companies)

as of December 31, 2013

Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	13,126	546	1,184	M.1.a.
b.	57,098	5,251	3,897	M.1.b.
c.	1,257,272	23,598	54,507	M.1.c.
d.	3,749,111	27,684	18,712	M.1.d.
e.	1,665,027	67,104	228,961	M.1.e.
f.	5,377,242	307,485	134,398	M.1.f.
g.	2,155,538	154,503	53,185	M.1.g.
h.	10,927,945			M.1.h.
i.	38,174			M.1.i.
j.	9,781,362	200,422	1,207,921	M.1.j.
k.	103,709	12,214	39,242	M.1.k.
l.	38,092,064	252,516	545,166	M.1.l.
m.	74,973			M.1.m.
n.	555,173	1,928	1,110	M.1.n.
o.	2,952,814	8,242	-2,994	M.1.o.
p.	76,800,628	1,061,493	2,285,289	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		7,300,858	69,417	M.1.q.

**Schedule T - Fiduciary and Related Services**  
**(Trust Companies)**  
**as of December 31, 2013**

Memoranda

2. Corporate trust and agency accounts:

(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
		M.2.a.
		M.2.a.1
		M.2.b.

3. Collective investment funds and common trust funds:

(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
5	406,974	M.3.a
20	7,650,653	M.3.b.
5	1,339,016	M.3.c.
16	2,793,868	M.3.d.
		M.3.e.
		M.3.f.
		M.3.g.
46	12,190,511	M.3.h.

**P. Trust Business for Which Securities Are on Deposit with the State Treasurer**

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	801,441	94,045,448	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	801,441	94,045,448	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,750	900	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	2,099	1,423	P.5.
P.6. Excess or deficiency; denote negative amount in ( ).....	349	523	P.6.

**Schedule T - Fiduciary and Related Services**  
**(Trust Companies)**  
**as of December 31, 2013**

**INCOME**

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	28,277	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution .....	1,310	15.a.
b. Employee benefit-defined benefit.....	762	15.b.
c. Other employee benefit and retirement-related accounts.....	3,452	15.c.
16. Corporate trust and agency accounts .....		16.
17. Investment management and investment advisory agency accounts .....	285,395	17.
18. Foundation and endowment trust and agency accounts.....	2,622	18.
19. Other fiduciary accounts .....	2,712	19.
20. Custody and safekeeping accounts .....	1,770	20.
21. Other fiduciary and related services income.....	20,090	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21) .....	346,390	22.
23. Less: Expenses.....	440,932	23.
24. Less: Net losses from fiduciary and related services.....	184	24.
25. Plus: Intracompany income credits for fiduciary and related services .....	34,443	25.
26. Net fiduciary and related services income .....	(60,283)	26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries  (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	18	12		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts	15			M.4.b.
c. Investment management and investment advisory..... agency accounts.....	1	148	12	M.4.c.
d. Other fiduciary accounts and related services.....		2		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	34	162	12	M.4.e.