

Schedule T - Fiduciary and Related Services

(Trust Companies)

as of June 30, 2013

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	4,704,951	1,160,250	1,579	390	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	382,861	2,661,661	40	27	5.a.
b. Employee benefit - defined benefit.....	126,139	307,412	10	8	5.b.
c. Other employee benefit and retirement-related accounts.....	577,536	157,306	653	169	5.c.
6. Corporate trust and agency accounts.					6.
7. Investment management and investment advisory agency accounts.....	68,386,248	420,399	4,528	64	7.
8. Foundation and endowment trust and agency accounts	384,538	176,083	97	29	8.
9. Other fiduciary accounts	763,826	136,525	9	12	9.
10. Total fiduciary accounts (sum of items 4 through 9)	75,326,099	5,019,636	6,916	699	10.
11. Custody and safekeeping accounts.....		7,697,863		223	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	481,849	155,657	503	155	13.

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Memoranda

1. Managed assets held in fiduciary accounts:

- a. Noninterest-bearing deposits.....
- b. Interest-bearing deposits.....
- c. U.S. Treasury and U.S. Government agency obligations.....
- d. State, county and municipal obligations.....
- e. Money market mutual funds.....
- f. Equity mutual funds.....
- g. Other mutual funds.....
- h. Common trust funds and collective investment funds.....
- i. Other short-term obligations.....
- j. Other notes and bonds.....
- k. Investments in unregistered funds and private equity investments.....
- l. Other common and preferred stocks.....
- m. Real estate mortgages.....
- n. Real estate.....
- o. Miscellaneous assets.....
- p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)

- q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
a.	11,788	1,736	20	M.1.a.
b.	45,525	6,685	3,159	M.1.b.
c.	938,428	27,508	64,593	M.1.c.
d.	4,117,548	32,121	29,189	M.1.d.
e.	1,442,167	57,373	118,337	M.1.e.
f.	4,881,642	302,563	91,483	M.1.f.
g.	2,034,484	145,602	16,889	M.1.g.
h.	9,898,727			M.1.h.
i.	88,866	135	1,574	M.1.i.
j.	10,616,979	212,617	1,170,240	M.1.j.
k.	222,380	14,868	49,948	M.1.k.
l.	34,760,311	272,195	380,626	M.1.l.
m.	172,933		1,531	M.1.m.
n.	641,703	4,825	9,183	M.1.n.
o.	3,082,731	36,025	279,935	M.1.o.
p.	72,956,212	1,114,253	2,216,707	M.1.p.
		(Column A) Managed Assets	(Column B) Number of Managed Assets	
		6,728,440	87,500	M.1.q.

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Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....	5	343,696	M.3.a.
b. International/Global equity.....	21	6,563,815	M.3.b.
c. Stock/Bond blend.....	5	1,334,617	M.3.c.
d. Taxable bond.....	16	2,862,293	M.3.d.
e. Municipal bond			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....	47	11,104,421	M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	25,610	85,598,145	P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....	25,610	85,598,145	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	1,877	1,003	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	1,608	1,325	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	(269)	322	P.6.

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INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	13,731	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	802	15.a.
b. Employee benefit-defined benefit.....	374	15.b.
c. Other employee benefit and retirement-related accounts.....	1,676	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	141,165	17.
18. Foundation and endowment trust and agency accounts.....	1,290	18.
19. Other fiduciary accounts	1,277	19.
20. Custody and safekeeping accounts	851	20.
21. Other fiduciary and related services income.....	9,358	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	170,524	22.
23. Less: Expenses.....	213,755	23.
24. Less: Net losses from fiduciary and related services.....	33	24.
25. Plus: Intracompany income credits for fiduciary and related services	17,487	25.
26. Net fiduciary and related services income	(25,777)	26.

Memoranda

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	18	1		M.4.a.
b. Employee benefit and retirement-related trust and agency... accounts	15			M.4.b.
c. Investment management and investment advisory..... agency accounts.....	1	10	12	M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	35	11	12	M.4.e.