

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2018

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,995,767	660,130	3,851	135	4.
5. Employee benefit and retirement-related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	226,335	556,095	106	130	5.a.
b. Employee benefit - defined benefit.....	172,910	99,099	18	5	5.b.
c. Other employee benefit and retirement-related accounts.....	603,551	200,363	1,086	157	5.c.
6. Corporate trust and agency accounts.....					6.
7. Investment management and investment advisory agency accounts.....	3,216,548	4,531	1,764	4	7.
8. Foundation and endowment trust and agency accounts.....	471,901	134,726	259	33	8.
9. Other fiduciary accounts.....	2,620	108	6	3	9.
10. Total fiduciary accounts (sum of items 4 through 9).....	12,689,632	1,655,052	7,090	467	10.
11. Custody and safekeeping accounts.....		14,634,898		1,125	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	540,740	92,337	1,076	151	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	1,278	26	22	M.1.a.
b. Interest-bearing deposits.....	195,477	10,507	8,512	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	353,478	30,916	13,006	M.1.c.
d. State, county and municipal obligations.....	1,382,476	18,009	13,057	M.1.d.
e. Money market mutual funds.....	477,350	45,197	46,207	M.1.e.
f. Equity mutual funds.....	1,559,301	342,154	116,168	M.1.f.
g. Other mutual funds.....	1,135,551	191,228	76,890	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	92,108			
j. Other notes and bonds.....	455,304	77,852	32,014	M.1.g.
k. Investments in unregistered funds and private equity investments.....	299,881		230	
l. Other common and preferred stocks.....	3,188,487	283,492	168,137	M.1.h.
m. Real estate mortgages.....	54,258	55		M.1.i.
n. Real estate.....	596,031	227	277	M.1.j.
o. Miscellaneous assets.....	1,421,331	3,134		M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	11,212,311	1,002,797	474,520	

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g.).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	440,895	27,277,879	P.1.
P.2. Less: real estate.....	56,396		P.2.
P.3. Trust business on which security is required.....	384,499	27,277,879	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	3,925	1,300	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,754	5,182	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,829	3,882	P.6.

Schedule T - Fiduciary and Related Services
(State Chartered Banks)
as of June 30, 2018

SCHEDULE T -Fiduciary and Related Services

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	21,436	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	1,591	15.a.
b. Employee benefit-defined benefit.....	383	15.b.
c. Other employee benefit and retirement-related accounts.....	2,335	15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts	9,619	17.
18. Foundation and endowment trust and agency accounts	1,516	18.
19. Other fiduciary accounts	1	19.
20. Custody and safekeeping accounts	2,876	20.
21. Other fiduciary and related services income.....	190	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	39,947	22.
23. Less: Expenses.....	29,731	23.
24. Less: Net losses from fiduciary and related services.....	542	24.
25. Plus: Intracompany income credits for fiduciary and related services	4,279	25.
26. Net fiduciary and related services income	13,954	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	532	1	1	M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	4			M.4.c.
d. Other fiduciary accounts and related services.....	29	31		M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	565	32	1	M.4.e.