

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of June 30, 2017

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts
	(000s)	(000s)		
FIDUCIARY AND RELATED ASSETS				
4. Personal trust and agency accounts.....				4.
5. Employee benefit and retirement- related trust and agency accounts:				5.
a. Employee benefit - defined contribution.....				5.a.
b. Employee benefit - defined benefit.....				5.b.
c. Other employee benefit and retirement-related accounts.....				5.c.
6. Corporate trust and agency accounts.				6.
7. Investment management and investment advisory agency accounts.....				7.
8. Foundation and endowment trust and agency accounts				8.
9. Other fiduciary accounts				9.
10. Total fiduciary accounts (sum of items 4 through 9)				10.
11. Custody and safekeeping accounts.....				11.
12. Not applicable				
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)				13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda	(Column A)	(Column B)	(Column C)
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement- Related Trust and Agency Accounts	All Other Accounts
	(000s)	(000s)	(000s)
1. Managed assets held in fiduciary accounts:			
a. Noninterest-bearing deposits.....			M.1.a.
b. Interest-bearing deposits.....			M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....			M.1.c.
d. State, county and municipal obligations.....			M.1.d.
e. Money market mutual funds.....			M.1.e.
f. Equity mutual funds.....			M.1.f.
g. Other mutual funds.....			
h. Common trust funds and collective investment funds.....			
i. Other short-term obligations.....			
j. Other notes and bonds.....			M.1.g.
k. Investments in unregistered funds and private equity investments.....			
l. Other common and preferred stocks.....			M.1.h.
m. Real estate mortgages.....			M.1.i.
n. Real estate.....			M.1.j.
o. Miscellaneous assets.....			M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)			

q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....

	(Column A) Managed Assets	(Column B) Number of Managed Assets

Memoranda

2. Corporate trust and agency accounts:

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....			M.2.b.

3. Collective investment funds and common trust funds:

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....			P.1.
P.2. Less: real estate.....			P.2.
P.3. Trust business on which security is required.....			P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....			P.4.
P.5. Market value of securities on deposit with the State Treasurer.....			P.5.
P.6. Excess or deficiency; denote negative amount in ().....			P.6.

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(State Chartered Banks)
as of June 30, 2017

SCHEDULE T -Fiduciary and Related Services

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....		14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution		15.a.
b. Employee benefit-defined benefit.....		15.b.
c. Other employee benefit and retirement-related accounts.....		15.c.
16. Corporate trust and agency accounts		16.
17. Investment management and investment advisory agency accounts		17.
18. Foundation and endowment trust and agency accounts.....		18.
19. Other fiduciary accounts		19.
20. Custody and safekeeping accounts		20.
21. Other fiduciary and related services income.....		21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)		22.
23. Less: Expenses.....		23.
24. Less: Net losses from fiduciary and related services.....		24.
25. Plus: Intracompany income credits for fiduciary and related services		25.
26. Net fiduciary and related services income		26.

Memoranda

	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....				M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....				M.4.c.
d. Other fiduciary accounts and related services.....				M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....				M.4.e.