

Schedule T - Fiduciary and Related Services

(State Chartered Banks)

as of September 30, 2015

	(Column A) Managed Assets	(Column B) Non-Managed Assets	(Column C) Number of Managed Accounts	(Column D) Number of Non-Managed Accounts	
	(000s)	(000s)			
FIDUCIARY AND RELATED ASSETS					
4. Personal trust and agency accounts.....	7,261,914	210,285	3,945	111	4.
5. Employee benefit and retirement- related trust and agency accounts:					5.
a. Employee benefit - defined contribution.....	108,369	465,184	89	128	5.a.
b. Employee benefit - defined benefit.....	150,307	72,709	18	4	5.b.
c. Other employee benefit and retirement-related accounts.....	521,079	252,193	1,013	198	5.c.
6. Corporate trust and agency accounts.	28,317	11	2	1	6.
7. Investment management and investment advisory agency accounts.....	3,099,152	15,229	1,749	8	7.
8. Foundation and endowment trust and agency accounts	319,575	120,139	174	33	8.
9. Other fiduciary accounts	30,443	11,774	16	3	9.
10. Total fiduciary accounts (sum of items 4 through 9)	11,519,156	1,147,524	7,006	486	10.
11. Custody and safekeeping accounts.....		13,673,424		1,139	11.
12. Not applicable					
13. Individual Retirement Accounts, Health Savings Accounts, and other similar accounts (included in items 5c and 11)	450,278	52,850	1,000	125	13.

Schedule T - Fiduciary and Related Services - Continued

Memoranda

	(Column A)	(Column B)	(Column C)	
	Personal Trust and Agency and Investment Management Agency Accounts	Employee Benefit and Retirement-Related Trust and Agency Accounts	All Other Accounts	
	(000s)	(000s)	(000s)	
1. Managed assets held in fiduciary accounts:				
a. Noninterest-bearing deposits.....	235	8	729	M.1.a.
b. Interest-bearing deposits.....	185,372	12,237	5,292	M.1.b.
c. U.S. Treasury and U.S. Government agency obligations.....	303,604	29,730	16,934	M.1.c.
d. State, county and municipal obligations.....	1,433,338	7,350	5,823	M.1.d.
e. Money market mutual funds.....	486,906	32,677	27,089	M.1.e.
f. Equity mutual funds.....	1,169,196	222,403	81,562	M.1.f.
g. Other mutual funds.....	1,074,325	173,294	58,487	
h. Common trust funds and collective investment funds.....				
i. Other short-term obligations.....	81,255			
j. Other notes and bonds.....	448,575	58,927	40,207	M.1.g.
k. Investments in unregistered funds and private equity investments.....	814,035	688	3,164	
l. Other common and preferred stocks.....	2,460,804	230,363	89,202	M.1.h.
m. Real estate mortgages.....	45,455	8,571	5,202	M.1.i.
n. Real estate.....	899,916	852	41,245	M.1.j.
o. Miscellaneous assets.....	958,050	2,656	3,399	M.1.k.
p. Total managed assets held in fiduciary accounts (for each column, sum of Memorandum items 1.a through 1.o)	10,361,066	779,756	378,335	
q. Investments of managed fiduciary accounts in advised or sponsored mutual funds.....		(Column A) Managed Assets	(Column B) Number of Managed Assets	

Memoranda

	(Column A) Number of Issues	(Column B) Principal Amount Outstanding (000s)	
2. Corporate trust and agency accounts:			
a. Corporate and municipal trusteeships.....			M.2.a.
(1) Issues reported in Memoranda 2a. that are in default.....			M.2.a.1
b. Transfer agent, registrar, paying agent, and other corporate agency.....	1		M.2.b.

	(Column A) Number of Funds	(Column B) Market Value of Fund Assets (000s)	
3. Collective investment funds and common trust funds:			
a. Domestic equity.....			M.3.a
b. International/Global equity.....			M.3.b.
c. Stock/Bond blend.....			M.3.c.
d. Taxable bond.....			M.3.d.
e. Municipal bond.....			M.3.e.
f. Short term investments/Money market.....			M.3.f.
g. Specialty/Other.....			M.3.g.
h. Total collective investment funds (sum of Memorandum items 3.a through 3.g).....			M.3.h.

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

	(Column A) Court Trusts (000s)	(Column B) Private Trusts (000s)	
P.1. Trust business for which securities are on deposit with the State Treasurer.....	348,078	25,041,138	P.1.
P.2. Less: real estate.....	47,547		P.2.
P.3. Trust business on which security is required.....	300,531	25,041,138	P.3.
P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code.....	4,100	1,400	P.4.
P.5. Market value of securities on deposit with the State Treasurer.....	9,755	5,641	P.5.
P.6. Excess or deficiency; denote negative amount in ().....	5,655	4,241	P.6.

Schedule T - Fiduciary and Related Services
(State Chartered Banks)
as of September 30, 2015

SCHEDULE T -Fiduciary and Related Services

INCOME

FIDUCIARY AND RELATED SERVICES INCOME	(000s)	
14. Personal trust and agency accounts.....	24,252	14.
15. Employee benefit and retirement-related trust and agency accounts:		
a. Employee benefit-defined contribution	800	15.a.
b. Employee benefit-defined benefit.....	300	15.b.
c. Other employee benefit and retirement-related accounts.....	2,648	15.c.
16. Corporate trust and agency accounts	261	16.
17. Investment management and investment advisory agency accounts	12,201	17.
18. Foundation and endowment trust and agency accounts	1,093	18.
19. Other fiduciary accounts	139	19.
20. Custody and safekeeping accounts	3,355	20.
21. Other fiduciary and related services income.....	88	21.
22. Total gross fiduciary and related services income (sum of items 14 through 21)	45,137	22.
23. Less: Expenses.....	40,254	23.
24. Less: Net losses from fiduciary and related services.....	129	24.
25. Plus: Intracompany income credits for fiduciary and related services	6,485	25.
26. Net fiduciary and related services income	11,239	26.

Memoranda	(Column A) Gross Losses Managed Accounts (000s)	(Column B) Gross Losses Non-Managed Accounts (000s)	(Column C) Recoveries (000s)	
4. Fiduciary settlements, surcharges, and other losses				
a. Personal trust and agency accounts.....	51	51		M.4.a.
b. Employee benefit and retirement-related trust and agency accounts				M.4.b.
c. Investment management and investment advisory..... agency accounts.....	5			M.4.c.
d. Other fiduciary accounts and related services.....	4	34	15	M.4.d.
e. Total fiduciary settlements, surcharges, and other losses (sum of Memorandum items 4.a through 4.d) (sum of columns A and B minus column C must equal Schedule T, item 21).....	60	85	15	M.4.e.