

## What's New

The Commissioner of Business Oversight adopted Sections 260.211.4, 260.211.5, 260.211.6 and 260.211.7 to Title 10 of the California Code of Regulations, to implement the new exemption for finders from the broker-dealer provisions of the Corporate Securities Law of 1968. This action was filed with the Secretary of State on June 21, 2017, and became effective on June 21, 2017.

## APPROVED RULES

### **File No. and Subject**

PRO 05/15 – Broker-Dealer Finder Exemption

### **Documents**

[Std. 400 - Approved](#)

[Order](#)

[Final Statement of Reasons](#)

### **Effective Date of Rules**

June 21, 2017

### **Rules**

ADOPT: Title 10 Sections 260.211.4, 260.211.5, 260.211.6 and 260.211.7