

Fee: \$20.00 + DOJ Noncriminal Applicant Fingerprint Fee
(Fees should include the Department of Corporations' filing fee of [\$20.00]
and the fee charged by the Department of Justice [Penal Code Section 11105]
for processing Noncriminal Applicant Fingerprints.)

Receipt No. _____

STATE OF CALIFORNIA
DEPARTMENT OF CORPORATIONS

*NOTICE OF
OFFICERS, DIRECTORS, PARTNERS, "CONTROL" PERSONS, MANAGERS, MEMBERS,
TRUSTEES AND EMPLOYEES OF A CAPITAL ACCESS COMPANY**

<u>OFFICIAL USE ONLY</u>	
<u>LAST NAME</u>	_____
<u>Date submitted CII:</u>	_____ Applicant
<u>I.D.#</u>	_____

PLEASE PRINT OR TYPE

NAME OF COMPANY: _____ **FILE NO.** _____

ADDRESS OF MAIN OFFICE: _____
(Street) (City) (State) (Zip Code)

EMPLOYING BRANCH OFFICE: _____

**NAME OF OFFICER, DIRECTOR, PARTNER, "CONTROL" PERSON, MANAGER, MEMBER, TRUSTEE
AND EMPLOYEE:**

(Last) (First) (Middle)

POSITION HELD OR TO BE HELD: _____

HOME ADDRESS: _____
(Street) (City) (State) (Zip Code)

DATE OF BIRTH: _____ **PLACE OF BIRTH:** _____
(Month) (Day) (Year)

HEIGHT: _____ **WEIGHT:** _____ **SEX:** _____ **COLOR OF EYES:** _____
COLOR OF HAIR: _____

* This document shall be treated by the Department of Corporations as being received in confidence pursuant to paragraph (4) of subdivision (d) of Section 6254 of the Government Code.