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BEFORE THE DEPARTMENT OF CORPORATIONS
OF THE STATE OF CALIFORNIA

In the Matter of)
THE CALIFORNIA CORPORATIONS)
COMMISSIONER,)
Complainant,)
v.)
STIPEK SECURITIES, LLC.)
Respondent.)

ORDER REVOKING STIPEK SECURITIES,
LLC'S CERTIFICATE AS A BROKER-
DEALER PURSUANT TO CORPORATIONS
CODE SECTION 25212

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1. Respondent Stipek Securities, LLC holds a valid and unrevoked broker-dealer certificate issued by the California Corporations Commissioner ("Commissioner") on February 23, 2000 pursuant to Corporations Code section 25210, subdivision (a). Stipek Securities, LLC is a broker-dealer business located at 12551 Welbe Drive, Santa Ana, California 92705. Leslie Clark Stipek is Stipek Securities LLC's chief executive officer and registered agent.

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2. On July 8, 2006, the Commissioner personally served Leslie Clark Stipek a Notice of Intention to Issue Order Revoking Stipek Securities, LLC's Certificate As A Broker-Dealer Pursuant To Corporations Code Section 25212 And Barring Leslie Clark Stipek From Any Position Of Employment, Management Or Control Of Any Investment Adviser, Broker-Dealer Or Commodity Adviser Pursuant To Corporations Code Section 25213 ("Notice") for violations of Corporate Securities Law sections 25110, 25210, 25401, 25216 and 25218. The reasons for such were laid out in the Accusation served along with the Notice.

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3. Pursuant to Corporate Securities Law section 25215 the Commissioner may enter an order revoking the broker-dealer certificate of Stipek Securities, LLC if respondent fails to request a hearing within 30 days after mailing of the Notice. Leslie Clark Stipek, sole owner and operator of

1 Stipek Securities, LLC was personally served with the Notice and Accusation on July 7, 2006 and
2 failed to file a notice of defense and request a hearing within 30 days from the date the Notice was
3 personally served upon him.

4 NOW BASED UPON THE FOREGOING, AND GOOD CAUSE APPEARING, it is hereby
5 ordered that Respondent, Stipek Securities, LLC's broker-dealer certificate is hereby revoked
6 pursuant to Corporations Code sections 25212. This order is effective immediately.

7 Dated: August 8, 2006

8 PRESTON DuFAUCHARD
9 California Corporations Commissioner

10 By: _____
11 ALAN S. WEINGER
12 Supervising Attorney
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