

1 PRESTON DuFAUCHARD  
California Corporations Commissioner  
2 ALAN S. WEINGER  
Acting Deputy Commissioner - Enforcement  
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8 Attorneys for Complainant  
California Corporations Commissioner

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11 BEFORE THE DEPARTMENT OF CORPORATIONS  
12 OF THE STATE OF CALIFORNIA  
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15 In Re The Matter Of ) OAH No. 2008050586  
16 THE CALIFORNIA CORPORATIONS ) AGENCY CASE No. 963-2395  
17 COMMISSIONER )  
18 vs. ) **ORDER SETTING ASIDE ORDER**  
19 ROCK & ROLL ESCROW, INC., ) **IMPOSING PENALTIES PURSUANT TO**  
20 Respondent. ) **CALIFORNIA FINANCIAL CODE**  
21 ) **SECTION 17408**  
22 )  
) Date: December 11-12, 2008  
) Time: 9:00 a.m.  
) Place: 320 West Fourth Street, Room 630  
) Los Angeles, CA 90013

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24 GOOD CAUSE APPEARING, the First Amended Order Imposing Penalties Pursuant To  
25 California Financial Code Section 17408 issued to Respondent on April 17, 2008 for failure to  
26 timely file its annual audit report is hereby set aside without prejudice.

27 Nothing contained in this Order shall be construed as a waiver by the Commissioner of the  
28 California Department of Corporations to take action against Respondent for failing to file its annual

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report, including but not limited to suspension or revocation proceedings pursuant to California  
Financial Code section 17608.

Dated: October 16, 2008  
Sacramento, CA

PRESTON DUFAUCHARD  
California Corporations Commissioner

By \_\_\_\_\_  
Mary Ann Smith  
Senior Corporations Counsel  
Enforcement Division