NAME, ADDRESS, AND TELEPHONE NUMBER OF ATTORNEY OR PARTY WITHOUT ATTORNEY: (LEAVE BLANK IF NOTICE IS BY CLERK OF THE COURT)	STATE BAR NUMBER	Reserved for Clerk's File Stamp
ATTORNEY FOR (Name):		ORIGINAL FILED JUL 14 2006 LOS ANGELES SUPERIOR COURT
SUPERIOR COURT OF CALIFORNIA, COUNTY OF LOS ANGELES		
COURTHOUSE ADDRESS: 111 N. Hill St. Los Angeles		
PLAINTIFF: People of the State of California		
DEFENDANT: Leedha, Inc		
NOTICE OF ENTRY OF: ☑ JUDGMENT ☐ DISMISSAL ☐ OTHER APPEALABLE ORDER		CASE NUMBER: BC343931

To the above named parties and to their attorneys of record, you are hereby given notice of entry of:

☑ Judgment in the above-entitled matter, entered on (date): July 14, 2006

☐ Order of Dismissal in the above-entitled matter, filed on (date): _____

☐ Order _____, filed on (date): _____

CERTIFICATE/DECLARATION OF MAILING

I, (typed or printed name): K. TOLLACK, do hereby (check one):

☑ Certify that I am

☐ an active member of the State Bar of California

☑ the clerk of the above-entitled court

☑ Declare under penalty of perjury under the laws of the State of California that I am

☑ employed in/ ☐ a resident of (check one) Los Angeles County (where mailing occurred), over the age of 18 years,

and not a party to the cause within; that my ☑ business/ ☐ residence (check one) address is as shown above, and that on the date shown below I served notice of entry of the above-named document filed or entered herein, by depositing true copies thereof in sealed envelope(s), with postage thereon fully prepaid, in the United States Mail Service located at Los Angeles, California (city and state), addressed to the below-named parties as follows:

Dept. of Corporations
Attn: Michelle Lipton, Sr. Corps. Counsel
320 W. 4th Street Ste 750
Los Angeles, Ca. 90013

☐ Additional names and addresses on attached sheet.

Date: 7-14-06 Signed: _____

☐ Typed or printed attorney or employee name: _____

☑ K. TOLLACK, Deputy for John A. Clarke, Executive Officer/Clerk

WAYNE STRUMPFER
Acting California Corporations Commissioner
ALAN S. WEINGER (CA BAR NO. 86717)
Acting Deputy Commissioner
MICHELLE LIPTON (CA BAR NO. 178078)
Senior Corporations Counsel
DEPARTMENT OF CORPORATIONS
320 West 4th Street, Suite 750
Los Angeles, California 90013
Telephone: (213) 576-7591
Facsimile: (213) 576-7181

Attorneys for the People of the State of California

ORIGINAL FILED

JUL 14 2006

LOS ANGELES
SUPERIOR COURT

SUPERIOR COURT OF THE STATE OF CALIFORNIA

FOR THE COUNTY OF LOS ANGELES

THE PEOPLE OF THE STATE OF CALIFORNIA, by and through the California Corporations Commissioner, Plaintiff, v. LEEDHA, INC., d.b.a. Flintridge Asset Management Company, a California Corporation; EARL D. ANSCHULTZ, an individual; and DOES 1 through 10, inclusive, Defendants.	CASE NO. BC343931 ([redacted]) JUDGMENT BY COURT AFTER DEFAULT HEARING DATE: TIME: DEPT.: 71 JUDGE: Honorable Soussan G. Bruguera ACTION FILED: 12/5/05 NO TRIAL DATE

Ex. 4

State of California - Department of Corporations

On reviewing the Complaint, the Summary of Statement of Facts, the evidentiary declarations and other materials submitted by the Plaintiff, the People of the State of California, and good cause appearing therefore, IT IS HEREBY ORDERED, ADJUDGED AND DECREED that:

1. Defendant Earl D. Anschultz ("Anschultz") and all persons acting in concert or participating with him, are permanently restrained and enjoined from directly or indirectly violating:

a. California Corporations Code section 25230 by acting as an investment adviser without a license.

b. California Corporations Code section 25235(b) by engaging in transactions, practices, or a course of business to defraud or deceive any client or prospective client.

c. The Desist and Refrain Order dated April 26, 2001.

d. The Bar Order issued against Defendant Anschultz dated October 1, 2005.

2. Defendant Anschultz shall pay to the California Department of Corporations the sum of $200,000, as a civil penalty as authorized by California Corporations Code section 25535 for violations of the Corporate Securities Laws as follows:

a. As to the First Cause of Action, Defendant Anschultz shall pay the California Department of Corporations $50,000, for acting as an unlicensed investment adviser in violation of Corporations Code section 25230.

b. As to the Second Cause of Action, Defendant Anschultz shall pay the California Department of Corporations $50,000, for engaging in transactions, practices, or a course of business to defraud or deceive any client or prospective client in violation of Corporations Code section 25235(b).

c. As to the Third Cause of Action, Defendant Anschultz shall pay the California Department of Corporations $50,000, for violations of the Desist and Refrain Order dated April 26, 2001.

d. As to the Fourth Cause of Action, Defendant Anschultz shall pay the California

State of California - Department of Corporations

Department of Corporations $50,000, for violations of a Bar Order issued against Defendant Anschultz dated October 1, 2005.

3. Defendants Leedha, Inc., d.b.a. Flintridge Asset Management Company ("Leedha") and Anschultz, individually, jointly and severally, shall pay to the California Department of Corporations on behalf of Leedha's clients the disgorgement provision in the Stipulation, in the amount of $55,056.25, together with interest thereon at the legal rate per annum until said amounts are paid in full.

4. This Court shall retain jurisdiction over this action in order to implement and carry out the terms of all orders and decrees that may be entered herein or to entertain any suitable application or motion by plaintiff for additional relief within the jurisdiction of this Court.

Dated: [redacted]

JUL 14 2006

WAYNE STRUMPFER
Acting California Corporations Commissioner

By _____
MICHELLE LIPTON
Senior Corporations Counsel
Attorneys for Plaintiff

Judge of the State of California
Los Angeles Judicial District
Soussan G. Bruguera

State of California - Department of Corporations