



1           WHEREAS, the difference between what E\*TRADE has paid California, and the amount  
2 that should have been paid is Sixty Five Thousand Four Hundred Sixty Five Dollars and Ninety  
3 Seven Cents. (\$65,465.97); and

4           WHEREAS, E\*TRADE consents to the entry of this Amended Order; and

5           NOW THEREFORE, IT IS ORDERED as follows:

6           Within 10 days from the date of this Amended Order, E\*TRADE shall pay an additional  
7 sum of Sixty Five Thousand Four Hundred Sixty Five Dollars and Ninety Seven Cents.  
8 (\$65,465.97) to California, which amount constitutes the balance of California's share of the total  
9 state settlement of \$5 million. In the event another state securities regulator determines not to  
10 accept E\*TRADE's settlement offer, the total amount of the payment to the state of California  
11 shall not be affected.

12  
13           DATED this 9th day of March, 2012.

14  
15 BY ORDER OF

JAN LYNN OWEN  
California Corporations Commissioner

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18 By \_\_\_\_\_  
19 ALAN S. WEINGER  
20 Deputy Commissioner  
21 Enforcement Division  
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**CONSENT TO ENTRY OF AMENDED ORDER BY E\*TRADE SECURITIES LLC**

E\*TRADE Securities LLC (“Respondent”) hereby acknowledges that it has been served with a copy of this Amended Consent Order, and has read the foregoing Order.

James E. Ballowe, Jr. represents that he/she is General Counsel, Brokerage of E\*TRADE Securities LLC and that, as such, has been authorized by E\*TRADE Securities LLC to consent to the entry of this Amended Order for and on behalf of E\*TRADE Securities LLC

DATED this 8th day of March, 2012.

E\*TRADE SECURITIES LLC

By: James E. Ballowe, Jr.

Title: General Counsel, Brokerage

STATE OF Virginia )

)

County of Arlington )

SUBSCRIBED AND SWORN TO before me this 8th day of March, 2012.

Christopher C. Horak

Notary Public

My commission expires:

May 31, 2016