

1 PRESTON DuFAUCHARD
California Corporations Commissioner
2 ALAN S. WEINGER
Deputy Commissioner
3 JOYCE TSAI (CA BAR NO. 241908)
Corporations Counsel
4 DEPARTMENT OF CORPORATIONS
1350 Front Street, Room 2034
5 San Diego, California 92101
Telephone: (619) 525-4043
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7 Attorneys for Complainant

8 BEFORE THE DEPARTMENT OF CORPORATIONS
9 OF THE STATE OF CALIFORNIA
10

11 In the Matter of the Desist and Refrain Order)
12 against:)
13 DOROTHY OF OZ, LLC, dba BOX OFFICE)
14 PRODUCTIONS III, LLC, and NEIL)
15 KAUFMAN)
16)
17)

STIPULATION TO DESIST AND REFRAIN ORDER

18 IT IS HEREBY STIPULATED AND AGREED between Dorothy of Oz, LLC, doing
19 business as Box Office Productions III, LLC, and Neil Kaufman (hereinafter “Respondents”) and the
20 California Corporations Commissioner (“Commissioner”), as follows:

21 WHEREAS, Respondents admit the jurisdiction of the California Department of
22 Corporations over their persons and over the subject matter of this Stipulation;

23 WHEREAS, Respondents waive the right to any hearing, including that provided by
24 California Corporations Code sections 25532, subdivision (d), and 25609. Further, Respondents
25 waive any and all review by a court of law, including that provided by California Government Code
26 section 11523;

27 WHEREAS, all terms used, but not defined herein, shall have the meaning assigned to them
28 by the California Corporations Code;

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PRESTON DuFAUCHARD
California Corporations Commissioner

Dated: November 23, 2009

By _____
Alan S. Weinger
Deputy Commissioner

DOROTHY OF OZ, LLC, dba BOX OFFICE
PRODUCTIONS III, LLC, and NEIL KAUFMAN

Dated: November 12, 2009

By _____
NEIL KAUFMAN