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4 Department of Business Oversight  
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Attorneys for Complainant

9  
10 BEFORE THE DEPARTMENT OF BUSINESS OVERSIGHT  
11 OF THE STATE OF CALIFORNIA

12 In the Matter of: ) CFL LICENSE NO. 603-H755  
13 )  
14 ) NOTICE OF PETITION FOR  
BREAKWATER STRUCTURED GROWTH ) REINSTATEMENT  
15 ) (California Government Code Section 11522)  
16 )  
17 )  
18 )  
19 )  
20 )

21 TO: Chief Financial Officer  
22 Harry Chung  
23 1999 Avenue of the Stars, Suite # 3430  
Los Angeles, CA 90067

24 KAMALA D. HARRIS  
25 ATTORNEY GENERAL, STATE OF CALIFORNIA  
26 Department of Justice  
27 P.O. Box 944255  
Sacramento, California 94244-2550  
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1 Pursuant to Government Code section 11522, you are hereby notified that on April 26, 2016,  
2 Respondent, BREAKWATER STRUCTURED GROWTH OPPORTUNITIES FUND, L.P.  
3 (“Breakwater”), filed a Petition for Reinstatement of License (“Petition”) with the Commissioner of  
4 Business Oversight (“Commissioner”). A true and correct copy of the Petition without exhibits is  
5 attached hereto and incorporated herein as Exhibit 1.

6 The Commissioner, on July 29, 2014, issued an order revoking the California Finance  
7 Lenders Law license of Breakwater for failure to file its annual report as required by Financial Code  
8 section 22715. A true and correct copy of the revocation order is attached hereto and incorporated  
9 herein as Exhibit 2.

10 YOU ARE HEREBY FURTHER NOTIFIED that written argument and/or further written  
11 argument may be presented in this matter and should be received by the Department of Business  
12 Oversight **on or before September 30, 2016**, at its office located at 320 West 4<sup>th</sup> Street, Suite 750,  
13 Los Angeles, California 90013-2344, attention: Johnny Vuong, Senior Counsel.

14 The Commissioner shall render a decision in this matter on or before **October 7, 2016**.

15  
16 Dated: September 22, 2016  
17 Los Angeles, California

JAN LYNN OWEN  
Commissioner of Business Oversight

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19 By \_\_\_\_\_  
20 Johnny Vuong  
21 Senior Counsel  
22 Enforcement Division  
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