

1 MARY ANN SMITH  
Deputy Commissioner  
2 MARLOU de LUNA (CA BAR NO. 162259)  
Senior Corporations Counsel  
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Los Angeles, California 90013-2344  
4 (213) 576-7606

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6 Attorneys for Complainant

7  
8 BEFORE THE DEPARTMENT OF CORPORATIONS  
9 OF THE STATE OF CALIFORNIA

11	In the Matter of	)	FILE NO. 1195093
12	THE CALIFORNIA COMMISSIONER OF	)	
13	CORPORATIONS,	)	<b>ORDER BARRING KEITH ALLEN MILLS</b>
14	Complainant,	)	<b>FROM ANY POSITION OF</b>
15	v.	)	<b>EMPLOYMENT, MANAGEMENT OR</b>
16	KEITH ALLEN MILLS,	)	<b>CONTROL OF ANY BROKER-DEALER</b>
17	Respondent.	)	<b>OR INVESTMENT ADVISER PURSUANT</b>
18		)	<b>TO CORPORATIONS CODE SECTION</b>
19		)	<b>25213</b>

20 TO: Keith Allen Mills  
21 2800 N. Ocean Dr.  
22 Riviera Beach, FL 33404-3297

23 1. On March 5, 2013, the Commissioner of Corporations (“Commissioner”) of the  
24 California Department of Corporations brought an action in order to bar Respondent Keith Allen  
25 Mills (“Mills”) from any position of employment, management or control of any broker-dealer or  
26 investment adviser pursuant to California Corporations Code 25213.

27 2. On March 6, 2013, the Commissioner served Mills by return receipt mail with copies  
28 of the following documents: (1) Notice of Intention to Issue Order to Bar Keith Allen Mills from

1 Any Position of Employment, Management or Control of Any Broker-Dealer or Investment Adviser;  
2 (2) Accusation to Bar Keith Allen Mills from Any Position of Employment, Management or Control  
3 of Any Broker-Dealer or Investment Adviser; (3) Statement to Respondent;(4) Notice of Defense;  
4 and (5) Government Code sections 11507.5, 11507.6 and 11507.7.

5 3. Mills has not requested a hearing on this matter within 30 days after the service of the  
6 documents referenced in paragraph 3 above, as required by California Corporations Code section  
7 25215.

8 4. Mills is licensed as an agent in California. From July 18, 2011 to October 5, 2012,  
9 Mills was employed at Westpark Capital, Inc., a registered broker dealer and investment advisory  
10 firm located at 1900 Avenue of the Stars, Los Angeles, California 90067.

11 5. On or about October 1, 2012, a criminal complaint was filed against Mills in the  
12 United States District Court for the Southern District of Florida (United State of America vs. Keith  
13 Allen Mills, Case No. 12-80190-CR-MIDDLEBROOKS-W/BRANNON). The criminal complaint  
14 alleged that between in or about January 2009 through in or about October 2010, Mills as the  
15 President of Business Vision Network Inc. (“BVN”), a business that purportedly produced television  
16 infomercials and sold certain products advertised through infomercials it produced, knowingly and  
17 with an intent to defraud and to obtain money and property by means of materially false and  
18 fraudulent pretenses, representations and promises, knowing that such pretenses, representations and  
19 promises were false and fraudulent when made. Also, Mills solicited investors in BVN by falsely  
20 representing that BVN was a successful and financially stable infomercial company; that BVN had  
21 \$10 million in revenues; that it was preparing for a public stock offering; and that it had secured  
22 marketing campaigns for major companies. Mills knew that these representations were false.  
23 Moreover, Mills falsely represented to investors that BVN had employed several specific people  
24 experienced in the infomercial industry; that it had purchased a large inventory of a certain type of  
25 electric scooter; and that it had secured a valuable Medicare number. Mills knew that these  
26 representations were false. Furthermore, on or about February 23, 1010, at Palm Beach County, in  
27 the Southern District of Florida, and elsewhere, Mills knowingly transmitted \$62,000 by wire  
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1 transfer into BVN's account for the purpose of executing his scheme of defrauding and obtaining  
2 money by false and fraudulent representations.

3 6. On October 12, 2012, Mills pleaded guilty to one count of wire fraud, in violation of  
4 Section 1343 of Title 18 of the United States Code. Subsequently, on January 14, 2013, Mills was  
5 sentenced to 57 months of imprisonment and 3 years of supervised release.

6 Based upon the foregoing, the Commissioner finds it is in the public interest to bar Mills  
7 from any position of employment, management or control of any broker-dealer or investment  
8 adviser.

9 GOOD CAUSE APPEARING THEREFORE, IT IS ORDERED that Keith Allen Mills is  
10 barred in the State of California from any position of employment, management or control of any  
11 broker-dealer or investment adviser for acts committed as specified in California Corporations Code  
12 section 25212, subdivision (b). This order and bar is effective immediately.

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14 Dated: April 15 , 2013  
15 Sacramento, California

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JAN LYNN OWEN  
Commissioner of Corporations

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By \_\_\_\_\_  
MARY ANN SMITH  
Deputy Commissioner  
Enforcement Division

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