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STATE OF CALIFORNIA
BUSINESS, TRANSPORTATION AND HOUSING AGENCY
DEPARTMENT OF CORPORATIONS

To: Barbara Egan
FKP Group, Inc.
FKP Group & Co., Inc.
602 California Street
San Francisco, CA 94103
<http://www.fkpgroup.org>

DESIST AND REFRAIN ORDER

(For violations of sections 25210 and 25401 of the Corporations Code)

The California Corporations Commissioner finds that:

1. FKP Group, Inc. is an entity of unknown origin and maintains a website at <http://fkpgroup.org>.
2. From at least December 2011, FKP Group, Inc. has advertised its services as a broker-dealer on its website at www.fkpgroup.org. The FKP advertisement indicates that FKP Group, Inc. offers a full range of securities services through its subsidiary, FKP Group & Co., Inc., including support in buying/selling of shares on behalf of the client and in all operations pertaining to secondary registration, accounting and storing of securities. The website also indicates that FKP Group & Co., Inc. is a member of the Securities Investor Protection Corporation (“member SIPC”).
3. FKP Group, Inc. is also represented by a Barbara Egan. During December 2011, Barbara Egan contacted at least one consumer in connection with the above-described services of FKP Group, Inc. and FKP Group & Co., Inc.
4. A broker-dealer license was not issued by the Department of Corporations to Barbara Egan, FKP Group, Inc. or FKP Group & Co., Inc.
5. The website contains misrepresentations because the unlicensed statuses of FKP Group, Inc., FKP Group & Co., Inc., and Barbara Egan were not disclosed to the public. There are further

1 misrepresentations since the website describes Fidelity Group & Co, Inc. as a “member SIPC” and
2 FKP Group & Co., Inc. is not a member of the Securities Investor Protection Corporation.

3 Accordingly, the California Corporations Commissioner is of the opinion that FKP Group,
4 Inc., FKP Group & Co., Inc., and Barbara Egan have engaged in the business as a broker-dealer
5 without having first applied for and secured from the Commissioner a license authorizing FKP
6 Group, Inc., FKP Group & Co., Inc., and Barbara Egan to act in that capacity, in violation of
7 Corporations Code section 25210 of the CSL. Pursuant to section 25532 of the CSL, FKP Group,
8 Inc., FKP Group & Co., Inc., and Barbara Egan are ordered to desist and refrain from effecting any
9 transaction in, or inducing or attempting to induce the purchase or sale of any security in this state,
10 unless and until FKP Group, Inc., FKP Group & Co., Inc., and Barbara Egan have applied for and
11 secured from the Commissioner a license, then in effect, authorizing them to act in that capacity, or
12 unless exempt.

13 Further, the California Corporations Commissioner is of the opinion that FKP Group, Inc.,
14 FKP Group & Co., Inc., and Barbara Egan have made written or oral communications, which include
15 an untrue statement of a material fact or omitted to state a material fact necessary in order to make the
16 statements made, in light of the circumstances under which they were made, not misleading, in
17 violation of Corporations Code section 25401 of the CSL. Pursuant to section 25532 of the CSL, FKP
18 Group, Inc., FKP Group & Co., Inc., and Barbara Egan are hereby ordered to desist and refrain from
19 using any website or publishing any advertisement, by means of any written or oral communication
20 which includes an untrue statement of a material fact or omits to state a material fact necessary in
21 order to make the statements made, in light of the circumstances in which they were made, not
22 misleading.

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1 This order is necessary, in the public interest, for the protection of investors and consistent
2 with the purposes, policies and provisions of the Corporate Securities Law of 1968.

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4 Dated: January 31, 2012
5 Los Angeles, CA

JAN LYNN OWEN
California Corporations Commissioner

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7 By _____
8 ALAN S. WEINGER
9 Deputy Commissioner
10 Enforcement Division
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