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**STATE OF CALIFORNIA**  
**BUSINESS TRANSPORTATION AND HOUSING AGENCY**  
**DEPARTMENT OF CORPORATIONS**

**TO: Douglas Bennett George**  
**38621 Palo Colorado Road**  
**Carmel, CA 93923-8140**

**DESIST AND REFRAIN ORDER**

**(For violations of section 25230 of the Corporations Code)**

The California Corporations Commissioner finds that:

1. At all relevant times, Douglas Bennett George (“George”)resided at 38621 Palo Colorado Road, Carmel, CA 93923.
2. Commencing on or about November, 2008, George solicited and accepted funds in California to provide investment advice. George accepted \$25,000 in consideration of offering a range of financial services and investment advice for a one year period.
3. George did not have a valid investment adviser certificate issued by the California Department of Corporations or the Securities and Exchange Commission (“SEC”).
4. Based on the foregoing findings, the California Corporations Commissioner is of the opinion that Douglas Bennett George has conducted business as an investment adviser in this state without first applying for and securing from the commissioner a certificate, then in effect, authorizing him to do so, in violation of section 25230 of the California Corporate Securities Law (“CSL”).

Douglas Bennett George is hereby ordered to desist and refrain from acting as an investment adviser in the State of California unless and until he has first applied for and secured from the Commissioner a certificate, then in effect, authorizing him to act as an investment adviser.

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This Order is necessary, in the public interest, for the protection of investors and consistent with the purposes, policies, and provisions of the CSL.

Dated: April 6, 2009  
San Francisco, California

PRESTON DuFAUCHARD  
Commissioner of Corporations

By \_\_\_\_\_  
ALAN S. WEINGER  
Acting Deputy Commissioner  
Enforcement Division