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**STATE OF CALIFORNIA**  
**BUSINESS, TRANSPORTATION AND HOUSING AGENCY**  
**DEPARTMENT OF CORPORATIONS**

**TO:** Christopher Thomas Casanega (aka Chris Casanega) DBA  
Sharper & Granite  
400 Continental Plaza, Suite 600  
El Segundo, CA 90245  
  
232 16<sup>th</sup> Street  
Manhattan Beach, CA 90266

**DESIST AND REFRAIN ORDER**  
**(For violations of section 25230 of the Corporations Code)**

The California Corporations Commissioner finds that:

1. At all relevant times, Christopher Thomas Casanega, also known as Chris Casanega, was the managing partner of and doing business as (“DBA”) Sharper & Granite.
2. Sharper & Granite is an investment advisory services business with its principle place of business in El Segundo, California.
3. Beginning in or about January 2002 to present, Christopher Casanega, and Sharper & Granite provided discretionary investment advice to one or more California investors in connection with services promoted by Sharper & Granite.
4. Beginning in or about January 20, 2002 to present, Christopher Casanega and Sharper & Granite executed Investment Advisory Agreements with one or more California investors in which Christopher Casanega and Sharper & Granite agreed to and did act as investment advisors for compensation.
5. Christopher Casanega and Sharper & Granite received compensation for the investment advisory services they provided to one or more investors at all relevant times.
6. Neither Christopher Casanega nor Sharper & Granite had a valid investment advisor certificate issued by the Department of Corporations or the U.S. Securities and Exchange Commission (SEC) from the period of January 20, 2002 to March 26, 2003.

1 7. On or about December 15, 2002, Christopher Casanega submitted an application for  
2 Investment Advisor Certification to the Office of the California Corporations Commissioner for  
3 consideration and approval. The California Corporations Commissioner subsequently issued an  
4 Investment Advisor Certification license to Christopher Thomas Casanega DBA Sharper & Granite  
5 on March 27, 2003. From January 2002 to March 27, 2003, Christopher Casanega and Sharper &  
6 Granite acted as an investment advisor without the benefit of a valid Investor Advisor Certificate.

7 Based upon the foregoing findings, the California Corporations Commissioner is of the  
8 opinion that Christopher Thomas Casanega and Shaper & Granite conducted business as investment  
9 advisors in this state without first applying for and securing from the commissioner a certificate  
10 authorizing them to do so, in violation of section 25230 of the Corporate Securities Law of 1968 from  
11 January 20, 2002 to March 26, 2003. Pursuant to sections 25249 and 25532 of the Corporate  
12 Securities Law of 1968, Christopher Thomas Casanega and Sharper & Granite are hereby ordered to  
13 desist and refrain from any unlicensed investment advisor activity in the State of California unless  
14 and until they have first applied for and secured from the Commissioner a certificate, then in effect,  
15 authorizing them to act as investment advisors.

16 This Order, as retroactively applied from January 20, 2002 to March 26, 2003, is necessary, in  
17 the public interest, for the protection of investors and consistent with the purposes, policies, and  
18 provisions of the Corporate Securities Law of 1968.

19 Dated: August 30, 2007  
20 Sacramento, California

21  
22 PRESTON DuFAUCHARD  
23 California Corporations Commissioner

24  
25 By: \_\_\_\_\_  
26 SHARON A. LUERAS  
27 Lead Counsel  
28 Enforcement Division