

1 WAYNE STRUMPFER  
Acting California Corporations Commissioner  
2 ALAN S. WEINGER (CA BAR NO. 86717)  
Acting Deputy Commissioner  
3 LINDA A. STELLA (CA BAR NO. 161903)  
Senior Corporations Counsel  
4 DEPARTMENT OF CORPORATIONS  
320 West. 4<sup>th</sup> Street, Suite 750  
5 Los Angeles, California 90013-2344  
Telephone: (213) 576-7594  
6

7 Attorneys for Complainant

8  
9 BEFORE THE DEPARTMENT OF CORPORATIONS  
10 OF THE STATE OF CALIFORNIA

11 In the Matter of ) FILE NO. 117792  
12 )  
13 THE CALIFORNIA CORPORATIONS ) ORDER BARRING KENT DOUGLAS  
14 COMMISSIONER, ) NELSON FROM ANY POSITION OF  
15 ) EMPLOYMENT, MANAGEMENT OR  
Complainant, ) CONTROL OF ANY INVESTMENT  
16 v. ) ADVISER, BROKER-DEALER OR  
17 ) COMMODITY ADVISER PURSUANT TO  
STRATEGIC INVESTMENT SERVICES ) CORPORATIONS CODE SECTION 25232.1  
18 (KENT DOUGLAS NELSON, DBA); and )  
19 KENT DOUGLAS NELSON, as an individual, )  
20 Respondents. )

21 TO: Kent Douglas Nelson  
22 13789 Freeport Road  
23 San Diego, California 92129

24 1. On November 7, 2005 the Department of Corporations for the State of California  
25 (“Department”) brought an action to bar Kent Douglas Nelson (“Nelson”) from any position of  
26 employment, management or control of any investment adviser, broker-dealer, and commodity  
27 adviser pursuant to Corporations Code section 25232.1. Nelson’s current business address is 13789  
28 Freeport Road, San Diego, California 92129.

1           2. Nelson is the owner, managing director and control person of Strategic Investment  
2 Services (Kent Douglas Nelson, DBA). The California Corporations Commissioner  
3 (“Commissioner”) issued an investment adviser certificate to Strategic Investment Services (Kent  
4 Douglas Nelson, DBA) on February 23, 2001.

5           3. On or about September 14, 2005, in United States District Court, District of New Mexico,  
6 Nelson pled guilty to one felony count of mail fraud in violation of Section 1341 of Title 18 of the  
7 United States Code, for acts in connection with his investment adviser services rendered to the  
8 Treasurer of the State of New Mexico.

9           4. Nelson committed acts as specified in Corporations Code section 25232, subdivision (b),  
10 specifically, he was convicted of felony mail fraud in violation of Section 1341 of Title 18 of the  
11 United States Code arising out of the conduct of the business of investment adviser.

12           5. On November 7, 2005, the Commissioner served Nelson by certified mail, return receipt  
13 requested, with copies of the following documents: (1) Notice of Intention to Issue Orders Revoking  
14 the Investment Adviser Certificate of Strategic Investment Services (Kent Douglas Nelson, DNA)  
15 and Barring Kent Douglas Nelson from Any Position of Employment, Management or Control of  
16 Any Investment Adviser, Broker-Dealer or Commodity Adviser; (2) Accusation; (3) Statement to  
17 Respondents; (4) Notice of Defense (Re: Revocation); (5) Notice of Defense (Re: Bar); and (6)  
18 Government Codes sections 11507.5, 11507.6 and 11507.7. Nelson received these documents on  
19 November 30, 2005.

20           6. Nelson has not requested a hearing on this matter within 30 days after the mailing of the  
21 documents referenced in paragraph 5 as required by Corporations Code section 25233. The time  
22 period to request a hearing has expired.

23           Based upon the foregoing, the Commissioner finds it is in the public interest to bar Nelson  
24 from any position of employment, management or control of any investment adviser, broker-dealer  
25 or commodity adviser.

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GOOD CAUSE APPEARING THEREFORE, IT IS ORDERED that Kent Douglas Nelson is barred in the State of California from any position of employment, management or control of any investment adviser, broker-dealer or commodity adviser pursuant to Corporations Code section 23232.1 for acts committed as specified in Corporations Code section 25232, subdivision (b). This order is effective immediately.

Dated: January 3, 2006

WAYNE STRUMPFER  
Acting California Corporations Commissioner

By: \_\_\_\_\_  
ALAN S. WEINGER  
Acting Deputy Commissioner  
Enforcement Division

1 WAYNE STRUMPFER  
Acting California Corporations Commissioner  
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7 Attorneys for Complainant

8 BEFORE THE DEPARTMENT OF CORPORATIONS  
9 OF THE STATE OF CALIFORNIA

11	In the Matter of	)	FILE NO. 117792
12	THE CALIFORNIA CORPORATIONS	)	ORDER REVOKING INVESTMENT
13	COMMISSIONER,	)	ADVISER CERTIFICATE OF STRATEGIC
14	Complainant,	)	INVESTMENT SERVICES (KENT DOUGLAS
15	v.	)	NELSON, DBA)
16	STRATEGIC INVESTMENT SERVICES	)	(CORPORATIONS CODE SECTIONS 25232
17	(KENT DOUGLAS NELSON, DBA); and	)	and 25233)
18	KENT DOUGLAS NELSON, as an individual,	)	
19	Respondents.	)	

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21 1. Respondent Strategic Investment Services (Kent Douglas Nelson, DBA) (“Strategic  
22 Investment Services” or “Respondent”) holds a valid and unrevoked investment adviser certificate  
23 issued by the California Corporations Commissioner (“Commissioner”) on February 23, 2001,  
24 pursuant to Corporations Code section 25230. Strategic Investment Services is a sole proprietorship  
25 investment adviser business located at 13789 Freeport Road, San Diego, California 92129. Kent  
26 Douglas Nelson (“Nelson”) is the owner, managing director and control person of Strategic  
27 Investment Services.  
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NOW THEREFORE, GOOD CAUSE SHOWING, and pursuant to Corporations Code sections 25232, subdivision (b), and 25233, it is hereby ordered that Respondent Strategic Investment Services (Kent Douglas Nelson, DBA)'s investment adviser certificate is revoked. This order is effective immediately.

Dated: January 3, 2006

WAYNE STRUMPFER  
Acting California Corporations Commissioner

By: \_\_\_\_\_  
ALAN S. WEINGER  
Acting Deputy Commissioner