

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
September 2018**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
J.J. Best & Company New Bedford, Massachusetts	Settlement Agreement September 4, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Beechum Financial Corp. Roseville, California	Settlement Agreement September 4, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Tiara Enterprises LLC Huntington Beach, California	Settlement Agreement September 4, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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First Mortgage Company, L.L.C. Oklahoma City, Oklahoma	Order Revoking Mortgage Lenders License September 4, 2018	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50327)
USFIA, Inc. Steve Chen Leonard Stacy Johnson Weiwen (Wayne) Zhao Arcadia, California	Amended Desist and Refrain Order September 6, 2018	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401(b))
Rockland Fund Financial LLC El Segundo, California	Order Denying Petition for Reinstatement September 6, 2018	Unlawful California Financing Law Activity (Government Code Section 11522)
AIF Opportunity Fund, LLC Agricap Investment Fund, LLC Monterey, California	Citation September 6, 2018	Unlawful California Financing Law Activity (Financial Code Section 22707.5)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Secure Funding Group Chula Vista, California	Settlement Agreement September 7, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Eduardo Antonio Lopez Arcadia, California	Desist and Refrain Order September 7, 2018	Unlawful Offer and Sale of Securities (Corporations Code Section 25404)
OC Health Enterprises, Inc. dba B&B Collective Kent George Lehman Santa Ana, California Anaheim, California	Desist and Refrain Order September 10, 2018	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)
Primary Resource Network Inc. Hawthorne, California	Settlement Agreement September 10, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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Rachel G. Kessee Irvine, California	Accusation to Bar Rachel G. Kessee from any Position of Employment, Management or Control of any Financial Lender, Broker, or Mortgage Loan Origination September 11, 2018	Mortgage Loan Originator License (Financial Code Sections 22169(a)(1), 22109.1(a)(3) and 22169(a)(2))
Dollar Loan Center California, LLC Las Vegas, Nevada	Consent Order September 11, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22001 (a), 22161, 22161(a) and (b), 22303, 22304 and 22712)
Mirae Asset Wealth Management (USA) Inc. Los Angeles, California	Settlement Agreement Final Order to Discontinue Violations September 14, 2018	Unlawful Investment Adviser Activity (Corporations Code Sections 25249, 25241 and title 10 § 260.241.3(a)(7) and (e)(2))

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Paragon Portfolio Management, LLC Michael James Altobell Roseville, California	Order Revoking the Investment Adviser Certificate of Paragon Portfolio Management, LLC Order Barring Michael James Altobell from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser September 14, 2018	Unlawful Investment Adviser Law Activity (Corporations Code Sections 25232(e) and (h), 25232.1 and 25232.2)
Electronic Processing NW, LLC Dundee, Oregon	Order Rescinding Order Revoking California Finance Lenders License September 17, 2018	Unlawful California Financing Law Activity (Financial Code Section 22715)
Jeffrey L. Johnson, Jr. San Diego, California	Order Denying Application for Mortgage Loan Originator License September 18, 2018	Non-Issuance of Mortgage Loan Originator License (Financial Code Section 50141)

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Wyatt Leasing, LLC Cashmere, Washington	Settlement Agreement September 18, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Credit Karma, Inc. San Francisco, California	Stipulation September 21, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22100 and 22712)
Draper and Kramer Mortgage Corp. Lombard, Illinois	Settlement Agreement September 21, 2018	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50321)
German Gourmet Kitchen, LLC Christian Topperwien Beverly Hills, California	Desist and Refrain Order September 21, 2018	Unlawful Franchise Investment Law Activity (Corporations Code Section 31110)

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<p>Matthew John Davis</p> <p>Murrieta, California</p>	<p>Settlement Agreement</p> <p>Order Suspending Matthew John Davis from any Position of Employment, Management or Control of any Investment Adviser, Broker-Dealer or Commodity Adviser</p> <p>September 21, 2018</p>	<p>Unlawful Investment Adviser Activity</p> <p>(Corporations Code Sections 25232.1, 25232(a) and 25232(d)(2) and (d)(3))</p>
<p>Fast Auto Loans, Inc. dba Fast Auto and Payday Loans</p> <p>Bakersfield, California</p>	<p>Desist and Refrain Order</p> <p>September 22, 2018</p>	<p>Unlawful California Financing Law Activity</p> <p>(California Code of Regulations title 10 § 1451 and 1557)</p>
<p>Chicago Mortgage Solutions Corporation</p> <p>Lincolnshire, California</p>	<p>Accusation to Revoke License</p> <p>September 25, 2018</p>	<p>Unlawful Residential Mortgage Lending Activity</p> <p>(Financial Code Section 50327)</p>

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Mortgage Enterprise, Ltd. Carle Place, New York	Accusation to Revoke License September 25, 2018	Unlawful Residential Mortgage Lending Activity (Financial Code Section 50327)
Lido Isle Escrow, Inc. Newport Beach, California	Consent Order Revoking Escrow Agent's License September 25, 2018	Unlawful Escrow Law Activity (Financial Code Section 17608)
Michelle Denise Robinson Aguanga, California	Order Denying Mortgage Loan Originator License Application September 25, 2018	Non-Issuance of Mortgage Loan Originator License (Financial Code Section 22109.1)
Realty Escrow Corporation Roberto Melchor Frank Cancino Carol Alvarez Commerce, California	First Amended Accusation September 26, 2018	Unlawful Escrow Law Activity (Financial Code Sections 17414(a)(1) and (a)(2), 17420, 17608, 17423 and title 10 § 1738 and 1738.2)

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Trans Lease, Inc. Denver, Colorado	Consent Order September 27, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22712 and 22713(c))
Admiral Home Mortgage, L.L.C. Charlotte, North Carolina	Settlement Agreement September 27, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Elite Escrow Group Inc. Rancho Cucamonga, California	Accusation in Support of Notice of Intent to Issue Penalty Order September 28, 2018	Unlawful Escrow Law Activity (Financial Code Section 17213.2)