

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)  
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT  
November 2018**

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Daniel Irvin McCourt dba Financial Planning Associates  Aptos, California	Order Denying Investment Adviser Certificate Application of Daniel Irvin McCourt dba Financial Planning Associates  November 1, 2018	Unlawful Investment Adviser Activity  (Corporations Code Sections 25232(d) and (e))
Mount Olympus Mortgage Company dba MOMCo, Inc. Tru Mortgage  Irvine, California	Consent Order  November 5, 2018	Unlawful Residential Mortgage Lending Activity  (Financial Code Sections 50120(c) and 50130(c))
Pacific Union Financial, LLC  Farmers Branch, Texas	Stipulation  November 5, 2018	Unlawful Residential Mortgage Lending Activity  (Financial Code Section 50202(d))

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Elizabeth Alejo San Jose, California	Settlement Agreement  Order Suspending Mortgage Loan Originator License Issued to Elizabeth Alejo  November 6, 2018	Mortgage Loan Originator License  (Financial Code Section 50513)
Hacienda Escrow Corporation Ontario, California	Order to Discontinue Escrow Activities  November 7, 2018  Order Setting Aside Order to Discontinue Escrow Activities  November 29, 2018	Unlawful Escrow Law Activity  (Financial Code Section 17415)
Crawford Capital, LLC Los Angeles, California	Consent Order  November 8, 2018	Unlawful Investment Adviser Activity  (Corporations Code Sections 25532 and 25252)

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Ringside Development Company dba Bio-One, Inc.  Phoenix, Arizona Greenwood Village, Colorado	Consent Order  November 14, 2018	Unlawful Franchise Investment Law Activity  (Corporations Code Sections 31110 and 31406)
Russell William Burgin  London, Kentucky	Statement of Issues  November 16, 2018	Mortgage Loan Originator License  (Financial Code Sections 22109.1 and 22109.1(a)(3))
Lamb Energy Finance, LLC  Riverside, California	Order Granting Petition for Reinstatement and Reinstating License  November 18, 2018	Unlawful California Financing Law Activity  (Government Code Section 11522)
LPL Financial LLC  Oakland, California	Consent Order  November 19, 2018	Unlawful Broker-Dealer Activity  (Corporations Code Sections 25130, 25241, 25218 and title 10 § 260.218.4)

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Savvy Wellness Ira Warkol Bruce Robinson  Canoga Park, California	Desist and Refrain Order  November 19, 2018	Unlawful Offer and Sale of Securities  (Corporations Code Section 25110)
Henri Jeanrenaud  Santa Cruz, California	Consent Order  November 19, 2018	Unlawful Offer and Sale of Securities and Broker-Dealer and Broker-Dealer Activity  (Corporations Code Sections 25110, 25210 and 25230(a))
LenderEDGE, LLC  Newport Beach, California	Statement of Issues  November 20, 2018	Unlawful California Financing Law Activity  (Financial Code Sections 22100(a), 22109(a)(1) and (a)(3))
Chris Salas  Newport Beach, California	Order Barring Chris Salas from any Position of Employment, Management or Control of any Escrow Agent  November 20, 2018	Unlawful Escrow Law Activity  (Financial Code Section 17423)

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Alexander Thomas Carlucci  Lombard, Illinois	Statement of Issues in Support of Notice of Intention to Issue Order Denying Mortgage Loan Originator License Application  November 21, 2018	Mortgage Loan Originator License  (Financial Code Section 22109.1)
Tower Escrow, Inc.  Los Angeles, California	Accusation in Support of Order Suspending Tower Escrow, Inc.'s Escrow Agent License  November 26, 2018	Unlawful Escrow Law Activity  (Financial Code Sections 17414, 17604 and title 10 § 1738, 1738.1 and 1738.2)
James Johnson All Mark Insurance Services, Inc.  Laguna Hills, California	Consent Order  November 26, 2018	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110, 25210 and 25230(a))
Artis Ventures Management, L.P.  San Francisco, California	Order Withdrawing Notice of Intention to Issue Order Denying Application for an Investment Adviser Certificate  November 27, 2018	Unlawful Investment Adviser Activity  (Corporations Code Section 25232)

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<p>San Dieguito Escrow, Inc. Encinitas, California</p>	<p>Accusation in Support of Order Suspending San Dieguito Escrow, Inc.'s Escrow Agent's License  November 27, 2018</p>	<p>Unlawful Escrow Law Activity  (Financial Code Sections 17414, 17608, title 10 § 1732.1, 1732.2, 1732.3, 1737.3, 1738, 1738.1, 1738.2, and 1741.2)</p>
<p>Realty Escrow Corporation Roberto Melchor Frank Cancino Carol Alvarez  Commerce, California</p>	<p>Settlement Agreement  Order Barring Carol Alvarez from any Position of Employment, Management, or Control of any Escrow Agent  Order Barring Roberto Melchor from any Position of Employment, Management, or Control of any Escrow Agent  Order Suspending Frank Cancino from any Position of Employment and Bar from Management or Control of any Escrow Agent  November 29, 2018</p>	<p>Unlawful Escrow Law Activity  (Financial Code Sections 17423, 17420, 17414(a)(1) and (a)(2), and title 10 § 1738 and 1738.2)</p>

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Acceptance Capital Mortgage Corporation  Spokane, Washington	Consent Order  November 26, 2018  Erratum  November 29, 2018	Unlawful California Financing Law and Residential Mortgage Lending Activity  (Financial Code Sections 50321, 50501.5 and 50513)
Peter Cha  Whittier, California	Statement of Issues in Support of Order Denying Application for Mortgage Loan Originator License  November 30, 2018	Mortgage Loan Originator License  (Financial Code Sections 22109, 22109.1(a)(3) and 22161)