

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT
July 2018**

SUBJECT	ACTION	VIOLATIONS & CHARGING SECTIONS
Michelle Denise Robinson Aguanga, California	Statement in Support of Notice of Intention to Issue Order Denying Mortgage Loan Originator License Application July 2, 2018	Mortgage Loan Originator License (Financial Code Section 22109.1(a)(2)(A))
LoanMe, Inc. Anaheim, California	Desist and Refrain Order July 2, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22701, 22712(a), and title 10 § 1451 (c))
Amerilink Escrow, Inc. Vinh Phan Quang Phan, aka Adan Phan Huntington Beach, California	(1) Order Barring Vinh Phan and Quang Phan, Also Known As Adan Phan, from Any Position of Employment, Management, and Control of Any Escrow Agent and (2) Order Revoking Amerilink Escrow, Inc.'s Escrow Agent's License July 2, 2018	Unlawful Escrow Law Activity (Financial Code Sections 17210, 17414(a)(1) and (a)(2), 17423, and title 10 § 1738, 1738.1, and 1738.2)

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Platinum Capital Services Platinum Capital Financial Platinum Capital Accounting and Tax Services David Gene Neugart Temecula, California	Desist and Refrain Order July 2, 2018	Unlawful Investment Adviser Activity (Corporations Code Sections 25230(a), 25235(a)(b), and 25532)
Angelita Arpilleda Ramos Chula Vista, California	Desist and Refrain Order July 2, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22100 and 22712)
Sentra Funding, LLC Foothill Ranch, California	Consent Order Desist and Refrain Order July 2, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22059, 22100, 22502, and 22712)
Ory Ramirez Torrance, California	Statement of Issues July 2, 2018	Mortgage Loan Originator License (Financial Code Section 50141(a)(2)(A))

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Sean O'Neal aka Sean Ryan Vanguard Equities Group Torrance, California	Desist and Refrain Order July 3, 2018	Unlawful Offer and Sale of Securities and Broker-Dealer Activity (Corporations Code Sections 25110, 25210, and 25401)
Val-Chris Investments, Inc. Irvine, California	Order Conditionally Granting Petition for Reinstatement of License July 3, 2018 Order (1) Granting Petition for Reinstatement and (2) Reinstating California Finance Lender License July 9, 2018	Unlawful California Financing Law Activity (Government Code Section 11522)
Trigen Leasing, LLC New York, New York	Order Conditionally Granting Petition for Reinstatement July 3, 2018 Order Granting Petition for Reinstatement July 30, 2018	Unlawful California Financing Law Activity (Government Code Section 11522)

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Patrick Brian McGee Oroville, California	Desist and Refrain Order July 5, 2018	Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401)
Prestige Assets & Holding, Inc. Long Beach, California	Settlement Agreement July 5, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Stephanie C. Kalulu San Diego, California	Accusation in Support of Order Barring Stephanie C. Kalulu from Any Position of Employment, Management or Control of Any Escrow Agent July 10, 2018	Unlawful Escrow Law Activity (Financial Code Sections 17414(a)(1) and (a)(2), 17423 and title 10 §1738, 1738.2)
GBC Premium Finance Inc. Los Angeles, California	Consent Order Desist and Refrain Order July 10, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22100 and 22712)

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Paradace Corporations Pasadena, California	Settlement Agreement July 10, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Sullivan Garrett Venice, California	Settlement Agreement Order Suspending Sullivan Garrett from Any Position of Employment, Management, or Control of Any Escrow Agent July 10, 2018	Unlawful Escrow Law Activity (Financial Code Section 17423)
Seneca One, LLC Bethesda, Maryland	Settlement Agreement July 11, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Enverto Investment Group, LLC. Los Angeles, California	Notice of Petition for Reinstatement July 11, 2018	Unlawful California Financing Law Activity (Government Code Section 11522)

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Unified Mortgage, LLC Palm City, Florida	Settlement Agreement July 12, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
West Coast Funding and Real Estate Services, Inc San Diego, California	Order Setting Aside Order Imposing Penalty July 13, 2018	Unlawful California Financing Law Activity (Financial Code Section 22715)
Suzanne R. Ewen Manhattan Beach, California	Order Barring Suzanne R. Ewen from Employment, Management, or Control of Any Escrow Agent July 16, 2018	Unlawful Escrow Law Activity (Financial Code Sections 17414(a) and (a)(1), 17423 and title 10 § 1732.1, 1732.2, 1732.3, 1737.3 and 1738)
Founders Group of Nevada, Inc. Oklahoma City, Oklahoma	Settlement Agreement July 17, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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Cross State Funding Corp. North Tonawanda, New York	Settlement Agreement July 18, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Joseph M. Roussel Hemet, California	Settlement Agreement July 18, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Ambergris Holdings, LLC Thousand Oaks, California	Settlement Agreement July 18, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
All Financial Services, LLC Owing Mills, Maryland	Settlement Agreement July 19, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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<p>Jame Jay Holloway Chandler, Arizona</p>	<p>Order Denying Mortgage Loan Originator License Application</p> <p>Order Barring Jame Jay Holloway from Employment, Management or Control of Any Finance Lender, Broker, or Mortgage Loan Originator</p> <p>July 19, 2018</p>	<p>Non-Issuance of Mortgage Loan Originator License</p> <p>(Financial Code Sections 22105.1, 22109.1(a), 22169, and 22172(a))</p>
<p>Ameriprise Financial Services, Inc. Minneapolis, Minnesota</p>	<p>Consent Order</p> <p>July 23, 2018</p>	<p>Unlawful Investment Adviser Activity</p> <p>(Corporations Code Sections 25218 and title 10 § 260.218.2 and 260.218.4(c))</p>
<p>Christopher Darnell Miller Los Angeles, California</p>	<p>Order Rescinding Order Imposing Penalty</p> <p>July 30, 2018</p>	<p>Unlawful California Financing Law Activity</p> <p>(Financial Code Section 22715)</p>
<p>Sharespost Securities, LLC. San Bruno, California</p>	<p>Notice of Petition for Reinstatement</p> <p>July 30, 2018</p>	<p>Unlawful California Financing Law Activity</p> <p>(Government Code Section 11522)</p>

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Homeward Bound LLC Arlene June Escobar Rancho Cucamonga, California	Consent Order July 30, 2018	Unlawful Escrow Law Activity (Financial Code Sections 17006(d), 17200, 17403(b), and 17416)
Archbell Capital, LLC Los Angeles, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Valley Escrow Inc. Granada Hills, California	Consent Order July 30, 2018	Unlawful Escrow Law Activity (Financial Code Sections 17406(a), 17408, and 17602)
C3 Finance, Inc. Van Nuys, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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FFC Mortgage Corp. Rochester, New York	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Equiloan, Inc. Rancho Cucamonga, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
East Coast Mortgage and Financial Services, Inc. Fort Washington, Pennsylvania	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Premier Lending, Inc. Burlingame, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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TDF Financial Services, Inc. Tustin, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Mortgage Click, Inc. Vacaville, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Wolsey, Inc. Pullman, Washington	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Resilience Finance Company Mill Valley, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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<p>Law Finance Group, LLC Mill Valley, California</p>	<p>Settlement Agreement July 30, 2018</p>	<p>Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)</p>
<p>Paragon Portfolio Management, LLC Michael James Altobell Roseville, California</p>	<p>(1) Order Revoking the Investment Adviser Certificate of Paragon Portfolio Management, LLC and (2) Order Barring Michael James Altobell from Any Position of Employment, Management or Control of Any Investment Adviser, Broker-Dealer or Commodity Adviser July 30, 2018</p>	<p>Unlawful Investment Adviser Activity (Corporations Code Sections 25232(e) and (h), 25232.1, 25235(b) and (c) and (d), 25238, 25400(a) and (a)(2) and (b), and 25404(b), and title 10§ 260.237 and 260.238)</p>
<p>John Marinus Seckel Seckel Capital, LLC Newtown, Pennsylvania</p>	<p>Settlement Agreement July 30, 2018</p>	<p>Unlawful Residential Mortgage Lending Activity and Mortgage Activity (Financial Code Sections 50200(a), 50204(k), 50314(c), 50317(a) and 50401(a))</p>

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A.L. Zimmerman Capital, LLC Austin, Texas	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Triple A Partners LLC George Robert Pidgeon, Jr. Los Angeles, California	Order Imposing Administrative Penalties July 30, 2018	Unlawful Investment Adviser Activity (Corporations Code Sections 25009.5(a), 25230(a), 25230(b), 25252(a), and title 10 § 260.236.1(a)(2))
Five Crowns Credit Partners, LLC Newport Beach, California	Settlement Agreement July 30, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Capital Resource Group, Inc. Pomona, California	Settlement Agreement July 31, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)

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Guardhill Financial Corp. New York, New York	Consent Order July 31, 2018	Unlawful Residential Mortgage Lending Activity (Financial Code Sections 50204(o), 50302, 50321, 50504, 50513, and Civil Code Section 2984.5(a)(1))
Barton L. Spindler Sun Valley, California	Settlement Agreement July 31, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)
Philip Wayne Bodine Granite Bay, California	Consent Order July 31, 2018	Unlawful Offer and Sale of Securities and Broker-Dealer Activity (Corporations Code Sections 25110 and 25210)
American Ken, Inc. Diamond Bar, California	Settlement Agreement July 31, 2018	Unlawful California Financing Law Activity (Financial Code Sections 22159 and 22715)