

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)  
BY THE CALIFORNIA DEPARTMENT OF BUSINESS OVERSIGHT  
October 2017**

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
<p>SWBC Mortgage Corporation  San Antonio, Texas</p>	<p>Accusation  Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final  Order to Discontinue Violations  October 2, 2017</p>	<p>Unlawful Residential Mortgage Lending Activity  (Financial Code Sections 50204(o), 50321, 50327, 50504(o) and 50513(b))</p>
<p>Blackwood Equities, LLC  Los Angeles, California</p>	<p>Desist and Refrain Order  October 2, 2017</p>	<p>Unlawful Offer and Sale of Securities  (Corporations Code Section 25401)</p>
<p>IMPAC Mortgage Corp.  Irvine, California</p>	<p>Consent Order  October 2, 2017</p>	<p>Unlawful Residential Mortgage Lending Activity  (Financial Code Sections 50204(o) and 50321)</p>

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Western Pioneer Financial, Inc. Clovis, California	Order (1) Granting Petition for Reinstatement and (2) Reinstating California Finance Lender License  October 3, 2017	Unlawful Finance Lenders Law Activity  (Government Code Section 11522)
Total Mortgage Services, LLC Milford, Connecticut	Accusation  Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final  Order to Discontinue Violations  Order to Refund Excessive Per Diem Interest Charges  October 4, 2017	Unlawful Residential Mortgage Lending and Finance Lenders Law Activity  (Financial Code Sections 50204(i) and (o), 50314, 50327, 50513(b), 50321, 50504 and 22714)
HOA Credit Corporation Michael Todd Chulak  Agoura Hills, California	Order Denying Finance Lender and Broker License Application  October 4, 2017	Unlawful Finance Lenders Law Activity  (Financial Code Section 22109)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
AG-Wise, Inc. Eric Mireles Saddleback Resolution Group, LLC Ali Barkhordar  Lemoore, California Irvine, California Valencia, California	Desist and Refrain Order  October 9, 2017	Unlawful Offer and Sale of Securities  (Corporations Code Sections 25110 and 25401)
Bannockburn Global Forex, LLC  Cincinnati, Ohio	Cease and Desist Order and Order Levying Penalties  October 9, 2017	Unlawful Money Transmission Law Activity  (Financial Code Sections 567, 2030 and 2151.1)
AmWest Funding Corp.  Brea, California	Settlement Agreement  October 10, 2017	Unlawful Residential Mortgage Lending and Finance Lenders Law Activity  (Financial Code Sections 50204(o), 50321, 50327, 50513(b), 50504 and 50504(o))

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Archie John Luse dba Harbor Island Wealth Management  Newport Beach, California	Consent Order  October 11, 2017	Unlawful Investment Adviser Activity  (Corporations Code Sections 25235, 25238, 25241 and 25249)
Adrienne Johnson  Torrance, California	Order Denying Mortgage Loan Originator License Application  October 11, 2017	Non-Issuance of Mortgage Loan Originator License  (Financial Code Section 50141)
Nancy Tran  Garden Grove, California	Notice of Petition for Reinstatement  October 11, 2017	Unlawful Escrow Law Activity  (Government Code Section 11522)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
FastCredit Financial, Inc.  Los Angeles, California	Consent Order  October 12, 2017	Unlawful Finance Lenders Law Activity  (Financial Code Section 22712)
Candide Group, LLC  Oakland, California	Consent Order  October 12, 2017	Unlawful Investment Adviser Activity  (Corporations Code Section 25230)
JMR Capital Management, Inc.  San Francisco, California	Statement in Support of Notice of Intention to Issue Order Levying Penalties  Statement of Facts in Support of Order to Discontinue Violations  October 20, 2017  Order to Discontinue Violations  October 25, 2017	Unlawful Investment Adviser Activity  (Corporations Code Sections 25249 and 25252)

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Lumen Solutions, Inc.  Santa Ana, California	Statement of Issues in Support of Order Denying California Finance Lender License Application  October 23, 2017	Unlawful Finance Lenders Law Activity  (Financial Code Section 22109(a))
The Lending Partners, LLC forced to do business in California as GreenPath Lending, LLC  Plano, Texas	Accusation  Statement of Facts in Support of Order to Discontinue Violations and Notice of Intent to Make Order Final  Order to Discontinue Violations  October 23, 2017	Unlawful Residential Mortgage Lending Law Activity  (Financial Code Sections 50202(a), 50204(o), 50314, 50321, title 10 § 1950.314.1 and 1950.314.6, 50513(b) and 50504(o))
TechnoVision Management, LLC  Saratoga, California	Desist and Refrain Order  Consent Order  October 26, 2017	Unlawful Investment Adviser Activity  (Corporations Code Sections 25230, 25230(a) and 25532(b))

<b>SUBJECT</b>	<b>ACTION</b>	<b>VIOLATIONS &amp; CHARGING SECTIONS</b>
Joseph Francis Diez dba Alii Consulting  San Francisco, California	Order Revoking Investment Adviser Certificate  October 27, 2017	Unlawful Investment Adviser Activity  (Corporations Code Sections 25232)
William John Mickley, Jr.  Tustin, California	Accusation in Support of Order Revoking Mortgage Loan Originator License of William John Mickley, Jr.  October 31, 2017	Mortgage Loan Originator License  (Financial Code Sections 50141 and 50513)