

**SUMMARY OF ENFORCEMENT ACTIONS (ADMINISTRATIVE AND CIVIL)
BY THE CALIFORNIA DEPARTMENT OF CORPORATIONS**

Sep-12

| SUBJECT | ACTION | VIOLATIONS & CHARGING SECTIONS |
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| Wee Prefer Escrow Ornage, California | Order to Discontinue Escrow Activities September 6, 2012 | Unlawful Escrow Law Activity (Financial Code Section 17415) |
| Neil Godbole San Francisco, California Saratoga, California | First Amended Statement of Issues for Order Barring Neil Godbole from any Position of Employment, Management, or Control of any Broker-Dealer or Investment Adviser September 6, 2012 | Unlawful Investment Adviser Activity (Corporations Code Section 25213) |
| National Group, LLC Elvira Lopez Carlos Porras Phoenix, California | Desist and Refrain Order; and Order Voiding Transactions and Disgorging All Charges and Fees September 10, 2012 | Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23005 and 23060) |

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| JD Marketing Group, Inc. Dennis C. Burkhardt Las Vegas, Nevada | Desist and Refrain Order; and Order Voiding Transactions and Disgorging All Charges and Fees September 10, 2012 | Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23005 and 23060) |
| Robert Eric Barth Laguna Niguel, California | Order of Permanent Bar from Employment, Management or Control of any Broker-Dealer or Investment Adviser September 10, 2012 | Unlawful Investment Adviser Activity (Corporations Code Section 25230) |
| Alisa Parsons Lakewood, California | Accusation September 10, 2012 | Unlawful Escrow Law Activity (Financial Code Sections 17414(a)(1), title 10 § 1738 and 1738.2) |

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| Cisco Systems Capital Corporation San Jose, California | Notice of Petition for Reinstatement September 14, 2012 | Unlawful Finance Lender Law Activity (Government Code Section 11522) |
| Bruce A. Berman Prepaid Card Holdings, Inc. PrepaYd, Inc. www.prepayd.com Newport Beach, California Irvine, California Henderson, Nevada | Desist and Refrain Order and Consent September 16, 2012 | Unlawful Offer and Sale of Securities (Corporations Code Section 25110) |
| Tran's Escrow Corporation Nga Ho Tran San Jose, California | Settlement Agreement September 17, 2012 | Unlawful Escrow Law Activity (Financial Code Sections 17406 and 17423) |

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| AALM Consulting Services, Ltd. Dba MultiLoanSource.com Spartanburg, North Carolina | Desist and Refrain Order and Order Voiding Transactions and to Disgorge All Charges and Fees and Citations September 17, 2012 | Unlawful Deferred Deposit Transaction Law Activity (Financial Code Sections 23050, 23060 and 23058) |
| Protege Financial & Insurance Service, Inc. aka Protégé Financial & Insurance Services, Inc. aka Protege Financial & Insurance Services, Inc. d Senior Retirement Specialists Teacher Retirement Specialists Saxe-Coburg Insurance Solutions, LLC Skyline Pictures, LLC Not Forgotten, LLC Windsor Pictures, LLC Michelle Kenan Seward Dror Soref Scott Walter Foulk Valencia, California South Pasadena, California | Complaint for Preliminary Injunction; Permanent Injunction; Civil Penalties; and Ancillary Relief September 19, 2012 | Unlawful Offer and Sale of Securities and Investment Adviser Activity (Corporations Code Sections 25110, 25401 and 25230) |

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| IHP, Inc. dba Cherry On Top David D. Kim Kurt Chou Buena Park, California | 1) Citations and Desist and Refrain Order 2) Claims for Ancillary Relief September 19, 2012 | Unlawful Franchise Investment Law Activity (Corporations Code Sections 31110, 31201 and 31406) |
| Alfaro Oil & Gas, LLC Brian Keith Alfaro Timothy Clarence Hundley Screaming Eagle 1H Well Joint Venture San Antonio, Texas | Desist and Refrain Order September 25, 2012 | Unlawful Offer and Sale of Securities (Corporations Code Sections 25110 and 25401) |
| Lucky Lady Mining, LLC Duain Vincent Preitz Newhall, California | Desist and Refrain Order September 28, 2012 | Unlawful Offer and Sale of Securities (Corporations Code Section 25110) |