

STATE OF CALIFORNIA – DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION  
**SUPPLEMENTAL INSTRUCTIONS FOR THE INTERAGENCY BIOGRAPHICAL AND  
FINANCIAL REPORT**

DFPI-FDIC 3064-0006 Inst. (Rev. 06-22)



DEPARTMENT OF FINANCIAL PROTECTION AND INNOVATION (DFPI)  
SUPPLEMENTAL INSTRUCTIONS  
FOR THE  
INTERAGENCY BIOGRAPHICAL AND FINANCIAL REPORT

The Department of Financial Protection and Innovation accepts the [Interagency Biographical and Financial Report](#) (used by the federal regulatory agencies of financial institutions) in lieu of California Department of Financial Protection and Innovation [Form 2 \(Personal Financial Statement\)](#) and [Form 3 \(Confidential Resume\)](#).

It is recommended that the Interagency Biographical and Financial Report (Copy attached) be used to respond to Item II.C2 of the Instructions for Form 2110 pertaining to applications to engage in the business of transmitting money. Specifically, each director, executive owner, and 10% equity security owner is requested to complete the attached interagency Biographical and Financial Report – using additional sheets as necessary.

The terms business, company, and enterprise are referenced in various items on Interagency Report. Please note that these terms include all business entities, including (but are not limited to) proprietorships, partnerships, corporations.

A response to item 4(c) may be omitted.

### **DFPI's Privacy Notice on Collection**

**DFPI Collects and Uses Personal Information:** The DFPI collects the information requested on this form as authorized by Chapter 1 of Title 10 of the California Code of Regulations. The DFPI uses this information to process applications related to entities authorized to engage in business under the Financial Institutions Law. Use of the personal Information DFPI collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

**Providing Personal Information Is Mandatory:** When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver's license number, or financial information.

**DFPI May Disclose Your Personal Information:** We may share your personal information with other federal and state financial institution regulators, or any law enforcement agency. Your personal information may also be disclosed:

- In response to a Public Records Act request, as allowed by the Information Practices Act.
- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

**Your Access to Your Personal Information:** You may review the records maintained by DFPI that contain your personal information. To request access, contact: DFPI Privacy Officer, 2101 Arena Blvd, Sacramento, CA, 95834, (866) 275-2677.