

CREDIT UNION OFFICIAL - QUESTIONNAIRE

DFPI-380 (Rev. 10-20)



**DEPARTMENT OF FINANCIAL PROTECTION
AND INNOVATION CREDIT UNION OFFICIAL
- QUESTIONNAIRE**

Credit Union Name: _____

Position: _____

Exact Full Name

First Name:	Middle Name:	Last Name:
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Current Residence

Street Address:	City:	State:	Zip Code:
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Mailing Address (if different from above): _____

Residence Phone No: _____

Business Phone No: _____

Social Security No: _____

1. Employment for the last 5 years:

From	To	Employer Name	Occupation and Duties

2. Have you ever been named in any order, judgment or decree of any court or any governmental agency or administrator, temporarily or permanently restraining or enjoining you from engaging in or continuing any conduct, practice or employment? _____.

If the answer is “Yes” give details:

CREDIT UNION OFFICIAL - QUESTIONNAIRE

DFPI-380 (Rev. 10-20) Page 2 of 4

3. **Have you ever been refused a license to engage in any business in this state or any other state, or has any such license ever been suspended or revoked? _____.**

If the answer is “Yes” give details:

4. **Have you ever been refused a bond, or have you ever had a bond revoked or canceled? _____.**

If the answer is “Yes” give details:

5. **Have you ever been convicted of or pleaded nolo contendere to a misdemeanor or felony other than traffic violations? _____.**

Note: “Convicted” includes a verdict of guilty by judge or jury, a plea of guilty or of nolo contendere or a forfeiture of bail. All convictions must be disclosed even if the plea or verdict was thereafter set aside and the charges against you were dismissed or expunged or if you have been pardoned. Convictions occurring while you were a minor must be disclosed unless the record of conviction has been sealed under Section 1203.45 of the California Penal Code or Section 781 of the California Welfare and Institution Code.

If the answer is “Yes” give details:

6. **Have you ever been a defendant in a civil court action other than divorce, condemnation or personal injury?**

_____.

If the answer is “Yes” please complete the following:

Date of suit: _____ Location of court: _____

Nature of suit:

Note: Attach separate schedule or comments if space is not adequate.

This statement will be considered confidential information and will be filed and maintained as part of the confidential records not subject to public inspection.

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VERIFICATION

I, the undersigned, state that I am the person named in the foregoing Credit Union Official - Questionnaire; that I have read and signed said Credit Union Official - Questionnaire and know the contents thereof, including all exhibits attached thereto, and that the statements made therein, including all exhibits attached thereto, are true.

I certify/declare under penalty of perjury that the foregoing is true and correct.

Executed at _____
(City)

(County) (State)

this _____ day of _____, 20____.

(Signature of Declarant)

State of _____ County of _____ Date _____
at _____.

(Signature of Affiant)

Subscribed and sworn before

me on

_____, 20____.

Notary Public in and for said County and State: _____

NOTE: This verification may be made either before a notary public or as a declaration under penalty of perjury. This form MUST be executed before a notary public if it is executed OUTSIDE the State of California.

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DFPI's Privacy Notice on Collection

DFPI Collects and Uses Personal Information: The DFPI collects the information requested on this form as authorized by California Financial Code sections 14151 and 14255. The DFPI uses this information to assess the background of individuals organizing a new credit union. Use of the personal Information DFPI collects is subject to limitations contained in the Information Practices Act of 1977 and other applicable state and federal laws.

Providing Personal Information Is Mandatory: When providing information or documents, please do not include unrequested personal information such as a Social Security number, driver's license number, or financial information.

DFPI May Disclose Your Personal Information: We may share your personal information with other federal or state agencies or with law enforcement. Your personal information may also be disclosed:

- In response to a Public Records Act request, as allowed by the Information Practices Act.
- To another governmental agency as required by state or federal law.
- In response to a court order or administrative order, a subpoena or a search warrant.

Your Access to Your Personal Information: You may review the records maintained by DFPI that contain your personal information. To request access, contact: DFPI Privacy Officer, 2101 Arena Blvd, Sacramento, CA 95834, (866) 275-2677.